



Safety Database **Report 2014**

Significant Accidents
occurred in Europe
during the year 2013

Public Report

UIC Safety Database Report 2014

Table of contents

Foreword by the Chairman

Part 1 - General Report on Significant Accidents 2013

Part 2 - Focus on Human factors

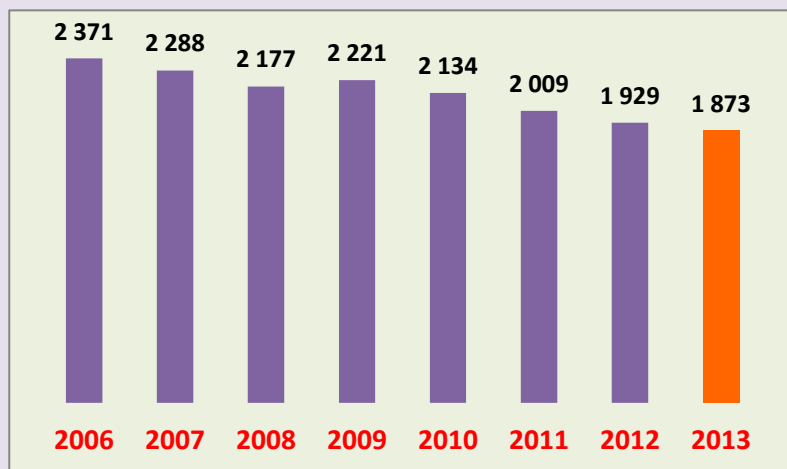
Foreword

Approximately 10 years ago, the International Union of Railways (UIC) began recording significant accidents and events. Since 2006, tables and graphs of comparable data from 21 UIC members have established a benchmark and allowed trends and developments in railway safety to be identified.

Statistical analysis of "significant" accidents in recent years has shown that there is an ongoing positive trend in Europe. The efforts made to improve railway safety amongst the 21 members of the UIC Safety Database are bearing fruit.

However, we should remain very cautious when analysing accident statistics and never forget that trends might be inverted after a single accident, such as the one that unfortunately occurred last July.

Annual number of significant accidents



However, we must not allow this positive trend to lull us into a false sense of safety. A closer analysis of "**Train collisions and derailments**" statistics indicates that unfortunately, despite the positive trend, the number of victims increased markedly in 2013. Four accidents, each with high numbers of victims, which occurred last January (Austria) and July (France, Spain, Switzerland), mar an otherwise very positive picture.



The "**Train collisions and derailments**" graph shows that the aforementioned accidents have a negative impact on the number of victims (total deaths and serious injuries, not including suicides).

Train collisions and derailments: numbers of events and victims

The second part of the report addresses the subject of "Accidents related to human factors". I believe that the field of "**Human Factors**" offers great potential for improving safety performance in the railways.

A further aspect I wish to mention is the analysis of the causes of accidents. More than 75% of accidents are caused by "third parties" (level crossing users and people trespassing on the track). To this can be added a large number of suicides, which impact negatively on railway operations. Here, society as a whole must pull in the same direction in order to improve matters.

Safety needs long-term thinking, and we must not allow major accidents - which are rare - to distract us from this fact. I wish all the railways every success in improving railway safety going forward.

Peter Kleinschuster

Chairman of the Safety Platform



Part 1

General safety indicators

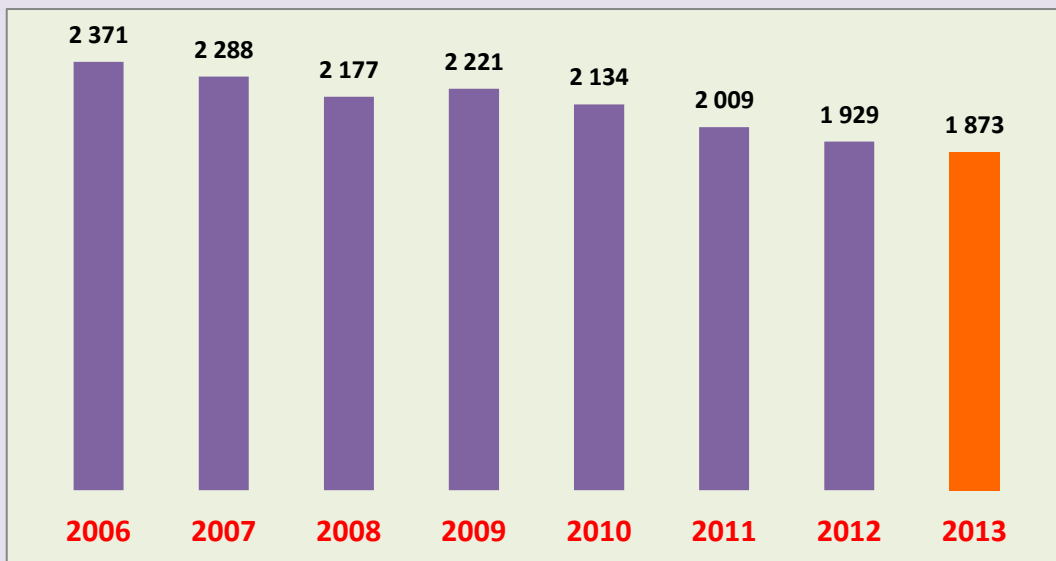
Part 1 - General Report on Significant Accidents

Table of contents

- 1.1 Summary of accidents and their human consequences
- 1.2 Types of accidents according to UIC-SDB and EU definitions
- 1.3 Main causes of accidents in the year
- 1.4 Trend of accidents and rates on the last seven years (19 railways)
- 1.5 Number of accidents and victims by type of accident
- 1.6 Accidents by type
- 1.7 Fatalities and serious injuries by type of accident
- 1.8 Distribution of victims
- 1.9 Victims by type of accident according to Safety Directive definitions
- 1.10 Accidents by location details
- 1.11 Accidents at level crossings
- 1.12 Passenger victims by type of accident and location
- 1.13 Staff victims by type of accident and location
- 1.14 Victims by type of traffic
- 1.15 Accidents and victims by type of accident, causes and location
- 1.16 Accidents by type and number of victims

1.1 Summary of accidents and their human consequences

Years	Significant accidents	Number of fatalities per 100 significant accidents			All victims per 100 significant accidents	Significant accidents per million train-km	Fatalities per million train-km
		Passengers	Staff	3rd parties			
2013	1 873	5,1	1,4	50,8	108,4	0,46	0,26
2012	1 929	1,7	2,3	48,9	102,2	0,47	0,25
2011	2 009	1,6	1,4	51,5	98,9	0,49	0,27
2010	2 134	1,9	1,8	50,5	107,5	0,53	0,29
2009	2 221	1,2	1,1	58,4	103,6	0,55	0,34
2008	2 177	1,4	2,0	51,4	103,9	0,53	0,29
2007	2 288	1,0	1,8	56,7	109,6	0,56	0,33
2006	2 371	1,0	1,7	51,5	102,4	0,59	0,32



- 3% decrease in significant accidents declared for the year 2013.
- Lowest rate of accidents per million train-kilometre in the 8-year period.

1.2 Types of accidents according to UIC-SDB and EU definitions

2013	Types of accidents as defined in UIC – SDB	Additional information from UIC -SDB	Types of accidents as defined in Safety Directive	
Collective accidents 33,0%	7,2%	Derailment of trains		
	1,9%	Train collision with another train		
	24,0%	6,5%	Train collision with an obstacle not at LC	8,4%
	17,5%	Train collision with an obstacle at LC		
Individual accidents 64,2%	60,2%	8,1%	Individual hit by a train at LC	25,6%
		52,1%	Individual hit by a train not at LC	
		4,1%	Individual falling from a train	
Other types of accidents 2,7%	1,4%	Fire in rolling stock		1,4%
	1,2%	Electrocution by overhead line or third rail		1,3%
	0,1%	Accident involving dangerous goods		

- 60% of accidents involved individuals hit by a train.
- Collision with an obstacle was the second most common accident (a quarter of all accidents).
- Accidents at level crossings accounted for 26% of all significant accidents.
- 2 accidents involved dangerous goods during the year 2013.
- Accidents at level crossings are divided in the UIC database between collisions with an obstacle at LC and individuals hit by a train at LC.

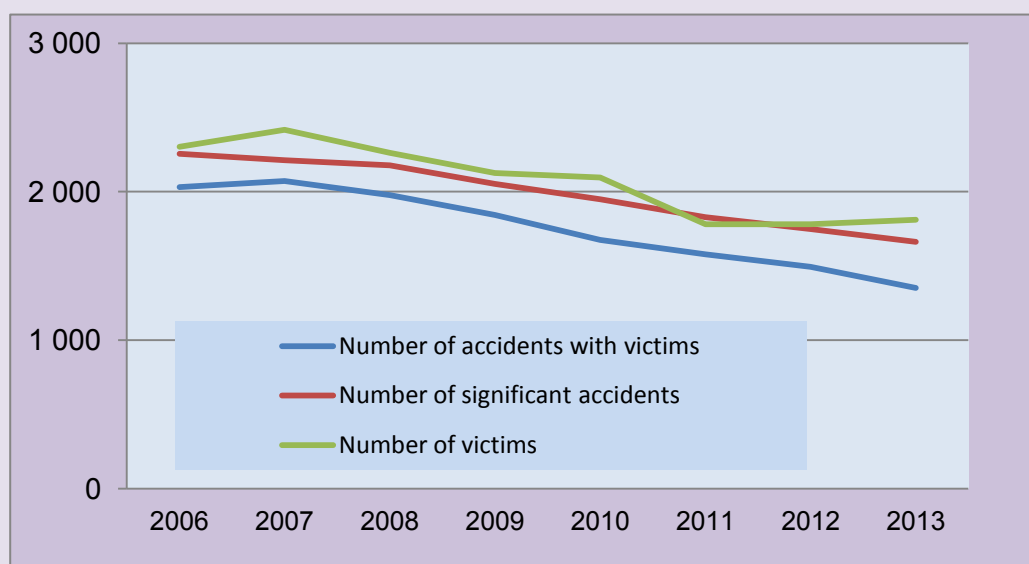
1.3 Main causes of accidents

2013	Causes at first level	Causes at second level	
EXTERNAL CAUSES	THIRD PARTIES 77,2%	Trespassing 47,1%	
		Vehicle (LC accident) 17,2%	
		Pedestrian (LC accident) 7,6%	
		Pedestrian on public railway area 2,7%	
		Other or not specified 2,6%	
80,7%	WEATHER & ENVIRONMENT 3,5%	Environment 2,1%	
		Weather 1,4%	
INTERNAL CAUSES	INFRASTRUCTURES 3,4%	Tracks and structures 2,3%	
		Energy system 0,7%	
		Other or not specified 0,3%	
	4,0%	ROLLING STOCK	Running gear 1,1%
			Other or not specified 2,8%
	7,3%	HUMAN FACTORS (Railway staff & subcontractors)	Track and switch maintenance staff 2,5%
			Traffic operating and signalling staff 1,1%
			Train drivers 2,0%
			Other or not specified 1,8%
	18,5%	RAILWAY USERS 3,9%	Passengers 3,7%
Other or not specified 0,2%			
CAUSES NOT IDENTIFIED		0,7%	

- More than 80% of accidents had external causes.
- The number of accidents with no identified causes is slightly higher than the previous year.
- Internal causes relate to both the infrastructure manager and railway undertakings.

1.4 Trend of accidents and rates over the last seven years (19 railways)

ALL RAILWAYS except MAV and HZ	2006	2007	2008	2009	2010	2011	2012	2013
Number of significant accidents	2 256	2 212	2 177	2 052	1 949	1 829	1 748	1 662
Significant accidents per million train-km	0,58	0,56	0,55	0,53	0,50	0,46	0,44	0,42
Number of accidents with victims	2 031	2 071	1 976	1 843	1 675	1 579	1 495	1 351
Accidents with victims per million train-km	0,52	0,52	0,50	0,47	0,43	0,40	0,37	0,34
Number of victims	2 302	2 418	2 262	2 125	2 096	1 781	1 780	1 810
Victims per million train-km	0,59	0,61	0,57	0,55	0,54	0,45	0,45	0,46
Number of fatalities	1 230	1 320	1 194	1 253	1 050	987	947	958
Fatalities per million train-km	0,32	0,33	0,30	0,32	0,27	0,25	0,24	0,24
Number of million train-kilometres	3 903	3 958	3 989	3 882	3 912	3 980	3 989	3 958



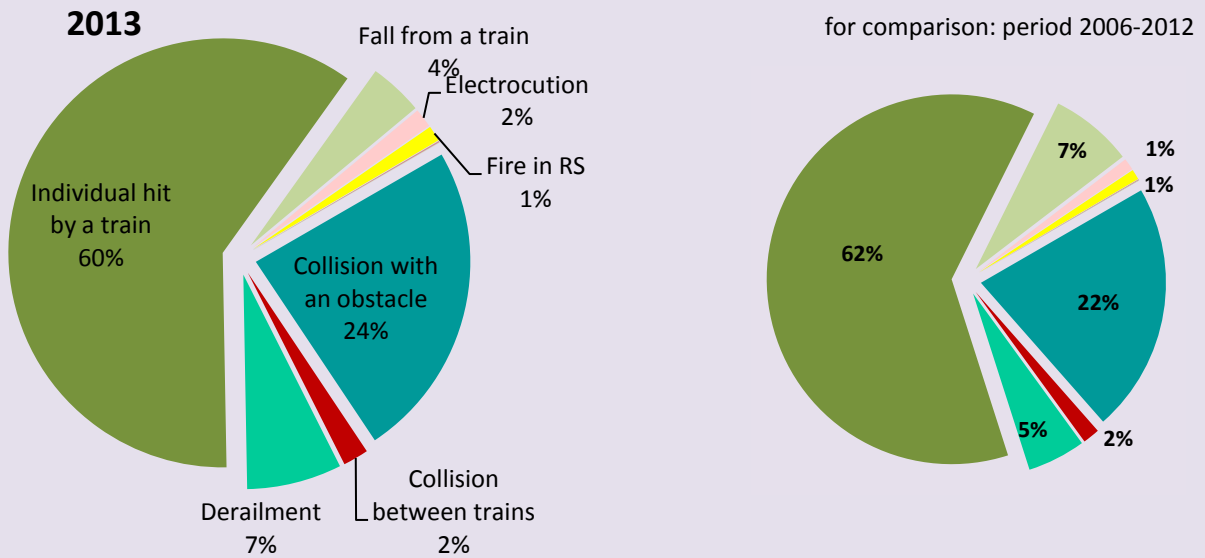
➤ This table allows a comparison on a constant perimeter, based on the 19 railways that have provided UIC with data every year since 2006.

1.5 Number of accidents and victims by type of accident

2013		Number of accidents	FATALITIES			SERIOUS INJURIES			ALL VICTIMS
			Passengers	Staff	3rd parties	Passengers	Staff	3rd parties	
At station	Collisions with an obstacle (not at LC)	26	-	-	1	-	3	-	4
	Collisions between trains	29	-	3	-	49	8	-	60
	LC accidents	91	-	-	54	5	-	47	106
	Derailments	69	3	-	4	29	1	1	38
	Hit by a train (not at LC)	373	1	13	203	2	17	142	378
	Falling from a train	56	6	-	1	47	-	2	56
	Other cases	25	-	-	4	-	3	12	19
	Total at station	669	10	16	267	132	32	204	661
In open line	Collisions with an obstacle (not at LC)	93	-	1	10	3	1	-	15
	Collisions between trains	4	-	-	-	8	16	-	24
	LC accidents	360	-	1	208	2	5	210	426
	Derailments	57	77	3	-	149	2	-	231
	Hit by a train (not at LC)	591	-	6	436	-	5	156	603
	Falling from a train	20	9	-	3	8	-	-	20
	Other cases	25	-	-	1	-	1	6	8
	Total in open line	1150	86	11	658	170	30	372	1327
not specified		54	-	-	26	1	1	15	43
GRAND TOTAL		1873	96	27	951	303	63	591	2031

- 61% of accidents occurred on open line, whilst 36% happened in stations.
- 70% of fatalities occurred on open line (27% in stations).
- Persons hit by a train and LC accidents represented 86% of fatalities on open line (92% in stations).

1.6 Accidents by type



	Victims per accident	Fatalities per accident	Serious injuries per accident
Passengers	0,21	0,05	0,16
Staff	0,05	0,01	0,03
Third parties	0,82	0,51	0,32
Total	1,08	0,57	0,51

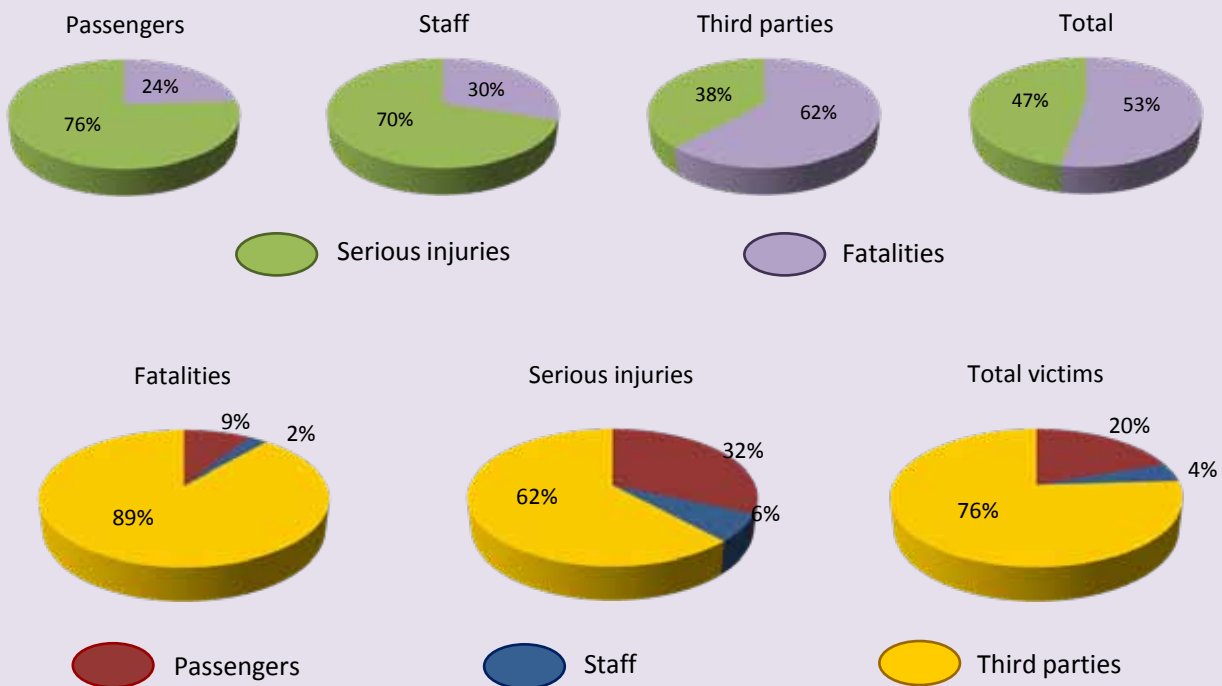
- Collisions with an obstacle include collisions at LC.
- Individual hit by a train include pedestrians at LC.
- For LC accidents, refer to table 1.11.

Type of accident - year 2013	Accidents		Victims	
	Number	%	Fatalities	Serious injuries
Collision with an obstacle	449	24,0%	174	255
Collision between trains	36	1,9%	3	81
Derailment	134	7,2%	87	182
Individual hit by a train	1127	60,2%	786	360
Fall from a train	76	4,1%	19	57
Electrocution	27	1,4%	5	21
Fire in RS	22	1,2%	-	-
Dangerous goods accidents (no release)	2	0,1%	-	1
Dangerous goods accidents (with release)	-	0,0%	-	-
Total	1 873		1 074	957

1.7 Fatalities and serious injuries by type of accident

2013	Fatalities			Serious injuries		
	Passengers	Staff	Third parties	Passengers	Staff	Third parties
Collision with an obstacle	-	1	173	10	9	236
Collision between trains	-	3	-	57	24	-
Derailment	80	3	4	178	3	1
Individual hit by a train	1	20	765	3	23	334
Fall from a train	15	-	4	55	-	2
Electrocution	-	-	5	-	3	18
Fire in rolling stock	-	-	-	-	-	-
Dangerous goods accidents (no release)	-	-	-	-	1	-
Dangerous goods accidents (with release)	-	-	-	-	-	-
Total	96	27	951	303	63	591

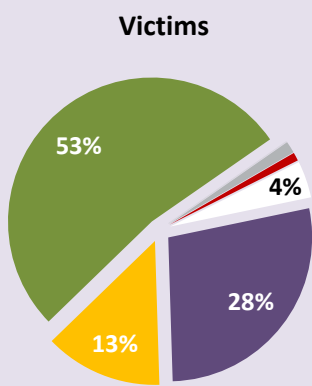
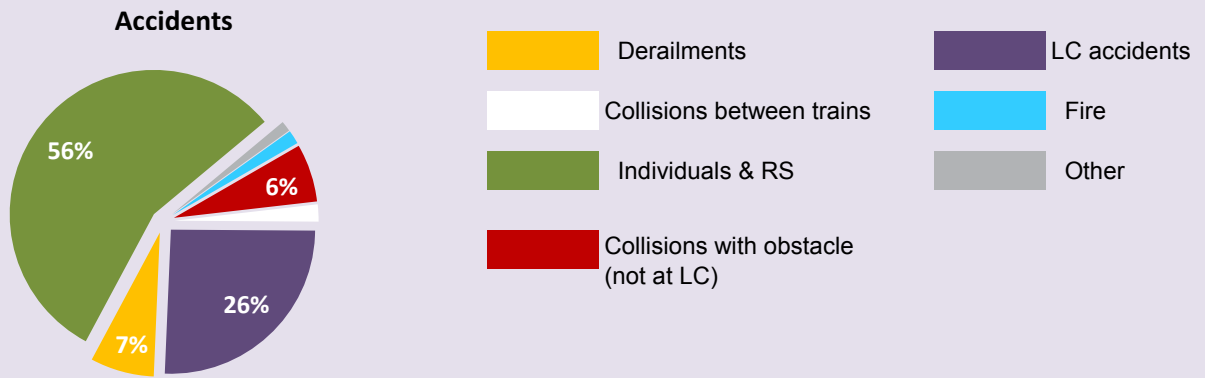
1.8 Distribution of victims



Reading method: fatalities account for 24% of passenger victims and passengers represent 9% of fatalities

- Third parties represented 89% of all fatalities and 62% of serious injuries.
- Passengers accounted for 20% of all victims (12% in 2012).

1.9 Victims by type of accident according to Safety Directive definitions

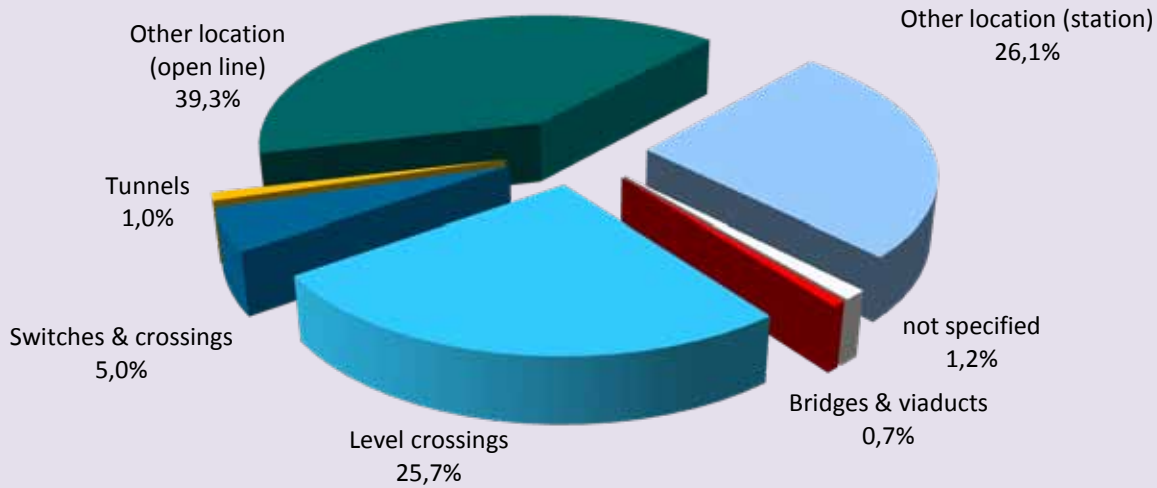


Breakdown of human consequences			
	Fatal.	Injur.	All
Passengers	5%	15%	20%
Staff	1%	3%	4%
Third parties	47%	29%	76%
All categories	53%	47%	100%

Type of accident	Number of events	%	Fatalities			Serious injuries		
			Passengers	Staff	3rd parties	Passengers	Staff	3rd parties
Collisions with obstacle (not at LC)	122	6,5%	-	1	11	3	4	-
Collisions between trains	36	1,9%	-	3	-	57	24	-
Level crossings	479	25,6%	-	1	280	7	5	271
Derailment	134	7,2%	80	3	4	178	3	1
Individuals & rolling stock in motion (not at LC)	1 051	56,1%	16	19	651	58	23	301
Fire	22	1,2%	-	-	-	-	-	-
Other types	29	1,5%	-	-	5	-	4	18
Total	1 873		96	27	951	303	63	591

2013 should not be considered as a typical year, as one sole accident resulted in 54% of passenger victims.

1.10 Accidents by location details

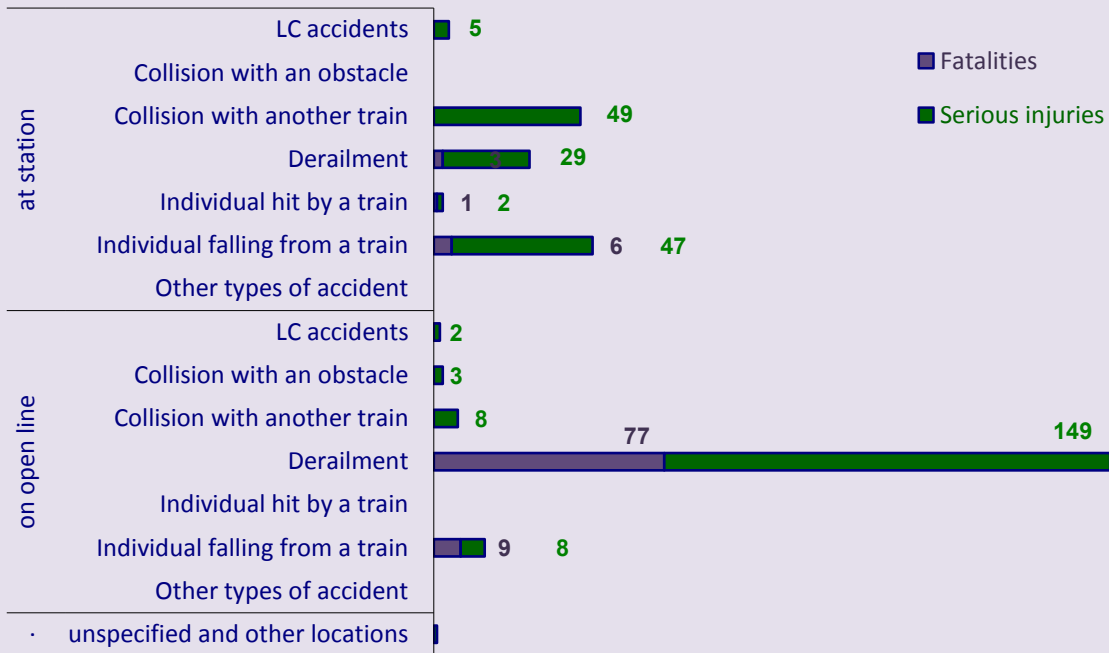


1.11 Accidents at level crossings

	Accidents at LC	Number of fatalities			% of all accidents	% of all fatalities	LC accidents per million train-km	LC fatalities per million train-km
		Passengers	Staff	Third parties				
2013	479	-	1	280	26%	26%	0,12	0,07
2012	510	-	1	325	26%	32%	0,12	0,08
2011	447	6	1	277	22%	26%	0,11	0,07
2010	495	1	3	315	23%	28%	0,12	0,08
2009	493	2	1	374	22%	28%	0,12	0,09
2008	539	-	3	325	25%	27%	0,13	0,08
2007	634	2	1	428	28%	32%	0,15	0,11
2006	664	1	2	350	28%	27%	0,16	0,09

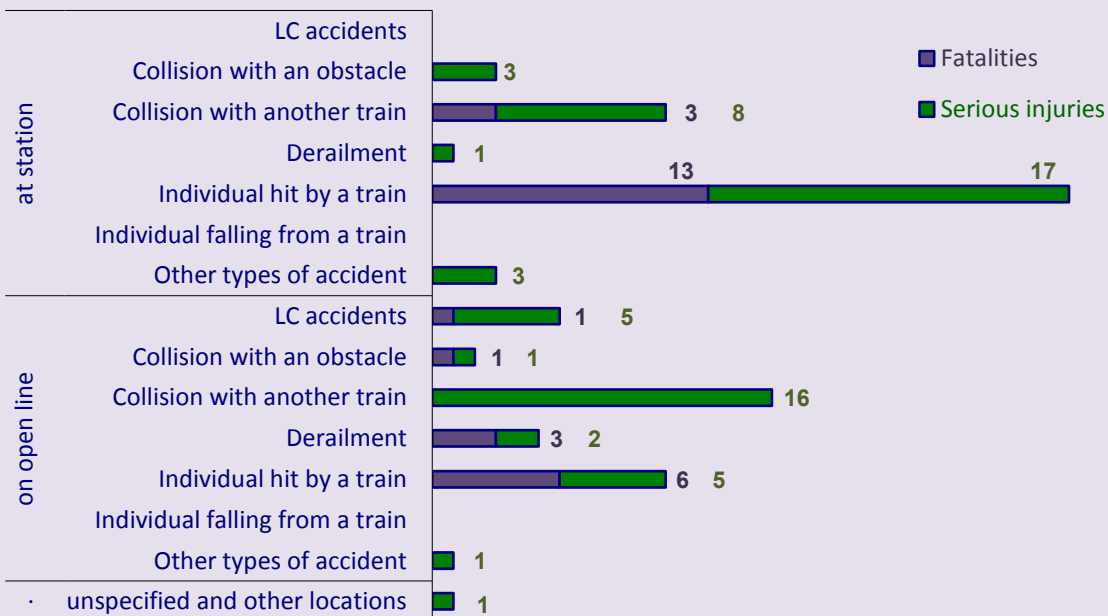
- Accidents at LC decreased by 27% compared with 2006 and 5% compared with 2012.
- Fatalities at LC decreased by 20% compared with 2006 and 13% compared with 2012.

1.12 Passenger victims by type of accident and location



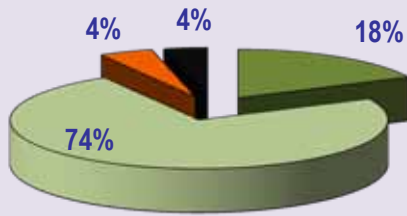
- "Fall from a train" represented 43% and train collisions 39% of victims at station
- Derailment accounted for 88% of passenger victims on open line
- All passenger victims on open line are linked to one sole accident

1.13 Staff victims by type of accident and location



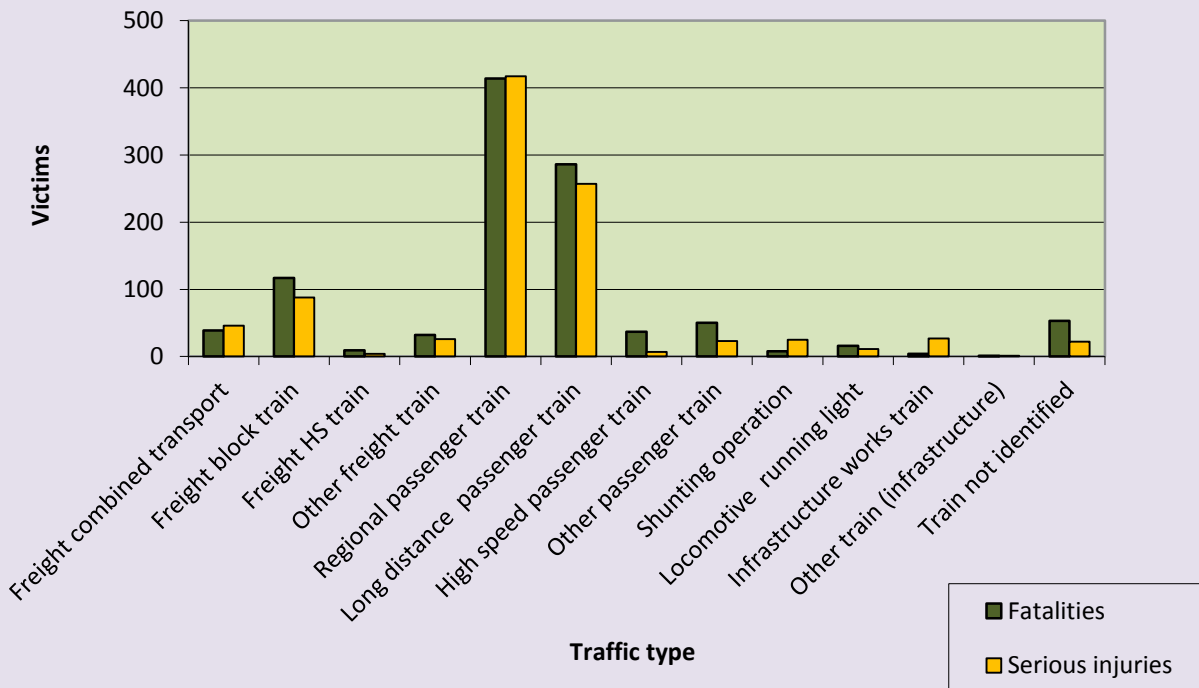
- "Individual hit by a train" is the main risk in stations (63% of victims).
- Train collisions represented 39% of staff victims on open line.

1.14 Victims by type of traffic



- Freight trains
- Passenger trains
- Locomotive running light, shunting, infrastructure works train and other infrastructure train
- Train not identified

Type of accident	Freight trains	Passenger trains	Locomotive running light, shunting, infrastructure works train and other infrastructure train	Train not identified
Collision	6	72	25	-
Derailment	37	230	2	-
Level-crossing accidents	110	432	15	6
Accidents to persons caused by rolling stock in motion	195	756	49	60
Other accidents	13	1	2	9
TOTAL victims	361	1491	93	75



- Passenger trains were involved in three out of four victims.
- Regional trains were involved in 41% of victims against 27% for long distance trains.

1.15 Accidents and victims by type of accident, causes and location

Type of accidents	Causes		Location				Victims					
			Type of location		Location details		Fatal.	S. Inj.				
Individual hit by a train 1127 1146	INF	-	-	OL	682	696	LC	152	154	P	1	3
	RS	1	1				SC	28	29			
	HF	37	41	S	420	425	BV	4	4	S	20	23
	RU	4	4				T	11	11			
	WE	1	1	Ot	25	25	O	932	948	T	765	334
	TP	1083	1098									
Train collision with an obstacle 449 429	INF	17	4	OL	362	348	LC	327	410	P	-	10
	RS	18	-				SC	6	-			
	HF	21	5	S	70	63	BV	4	1	S	1	9
	RU	1	1				T	5	-			
	WE	60	5	Ot	17	18	O	107	18	T	173	236
	TP	329	413									
Individual falling from a train 76 76	INF	-	-	OL	20	20	LC	1	1	P	15	55
	RS	-	-				SC	-	-			
	HF	1	1	S	56	56	BV	-	-	S	-	-
	RU	66	66				T	1	1			
	WE	-	-	Ot	-	-	O	74	74	T	4	2
	TP	8	8									
Train collision with another train 36 84	INF	-	-	OL	4	24	LC	-	-	P	-	57
	RS	2	-				SC	12	51			
	HF	31	84	S	29	60	BV	1	-	S	3	24
	RU	-	-				T	-	-			
	WE	1	-	Ot	3	-	O	23	33	T	-	-
	TP	-	-									
Derailment 134 269	INF	46	37	OL	57	231	LC	2	-	P	80	178
	RS	31	-				SC	46	-			
	HF	44	230	S	69	38	BV	4	-	S	3	3
	RU	1	-				T	1	-			
	WE	4	2	Ot	8	-	O	81	269	T	4	1
	TP	1	-									
Electrocution 27 26	INF	-	-	OL	9	8	LC	-	-	P	-	-
	RS	-	-				SC	-	-			
	HF	3	3	S	18	18	BV	-	-	S	-	3
	RU	-	-				T	-	-			
	WE	-	-	Ot	-	-	O	27	26	T	5	18
	TP	24	23									

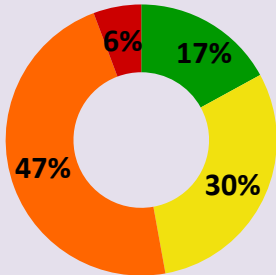
Type of accidents	Causes		Location				Victims						
			Type of location		Location details		Fatal.	S. Inj.					
Fires 22 -	INF	-	-	OL	16	-	LC	-	-	P	-	-	
	RS	21	-		SC	1	-	BV	-		-	S	-
	HF	-	-	S	5	-	T	-	-	T	-		-
	RU	-	-		Ot	1	-	O	21		-	-	-
	WE	-	-										
	TP	1	-										
Accident involving dangerous goods without release 2 1	INF	-	-	OL	-	-	LC	-	-	P	-	-	
	RS	1	1		SC	-	-	BV	-		-	S	-
	HF	-	-	S	2	1	T	-	-	T	-		-
	RU	1	-		Ot	-	-	O	2		1	-	-
	WE	-	-										
	TP	-	-										
Accident involving dangerous goods with release - -	INF	-	-	OL	-	-	LC	-	-	P	-	-	
	RS	-	-		SC	-	-	BV	-		-	S	-
	HF	-	-	S	-	-	T	-	-	T	-		-
	RU	-	-		Ot	-	-	O	-		-	-	-
	WE	-	-										
	TP	-	-										

TOTAL	INF	63	41	OL	1150	1327	LC	482	565	P	96	303	
	RS	74	2		SC	93	80	BV	13		5	S	27
	HF	137	364	S	669	661	T	18	12	T	951		591
	RU	73	71		Ot	54	43	O	1267		1369	-	-
	WE	66	8										
	TP	1446	1542										
1873											1074	957	
2031													

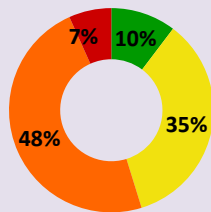
number of accidents	INF: Infrastructures RS: Rolling stock HF: Human Factors RU: Railway users	OL: Open line S: At station Ot: Other locations	LC: Level crossings SC: Switches & Crossings BV: Bridges & Viaducts T: Tunnels O: Other or unidentified	P: passengers S: Staff T: Third parties
number of victims	WE: Weather-Environment TP: Third Parties			

1.16 Accidents by type and number of victims

All accidents 2013 (1873 events)

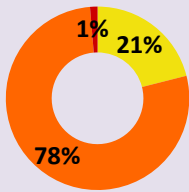


2006-2012 (15129 events)

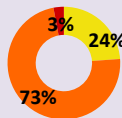


* a victim is a fatality or a serious injury

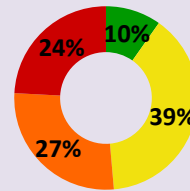
Individual hit by a train at LC 2013 (152 events)



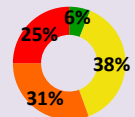
2006-2012 (1112 events)



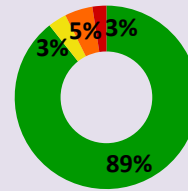
Collision with an obstacle at LC 2013 (327 events)



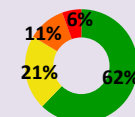
2006-2012 (2670 events)



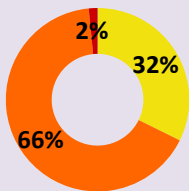
Collision with an obstacle not at LC 2013 (122 events)



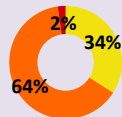
2006-2012 (635 events)



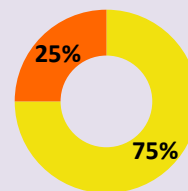
Individual hit by a train not at LC 2013 (975 events)



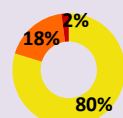
2006-2012 (8312 events)



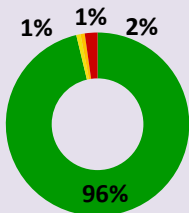
Fall from a train 2013 (76 events)



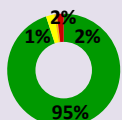
2006-2012 (1098 events)



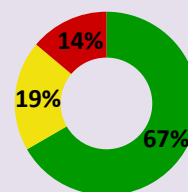
Derailment 2013 (134 events)



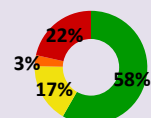
2006-2012 (768 events)



Collision between trains 2013 (36 events)



2006-2012 (223 events)



- Fires in RS: 23 accidents (all without victims)
- Electrocutions: - 21 event with a serious injury
- 6 events with 1 fatality
- Dangerous goods: - 1 event without victim
- 1 event with a serious injury



Part 2

Special topic for 2013
Focus on accidents related to
Human Factors

Part 2 - Focus on Accidents related to Human Factors

Table of contents

2.1 Significant accidents related to Human Factors since 2006

2.2 Accidents by type of accident

2.3 Proportion of events by type of accident

2.4 Trends by type of accident since 2006

2.5 Victims by type of victim since 2006

2.6 Victims by type of accident

2.7 Accidents by number of victims

2.8 Victims by category on the period 2006-2013

2.9 Causes at second level

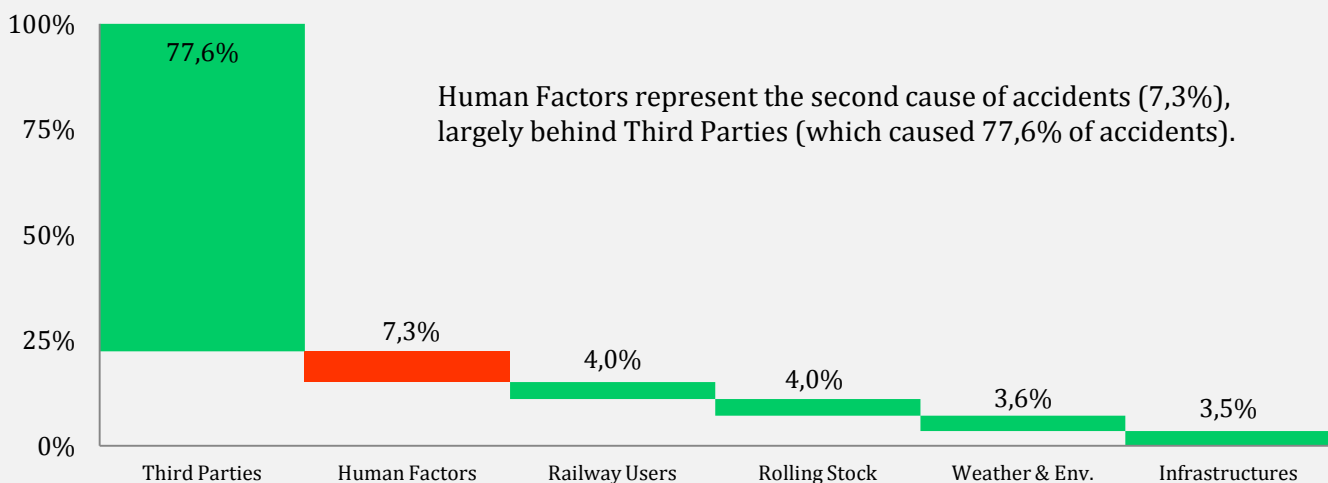
2.10 Causes at third level

2.11 Causes at second level per type of accident 2013

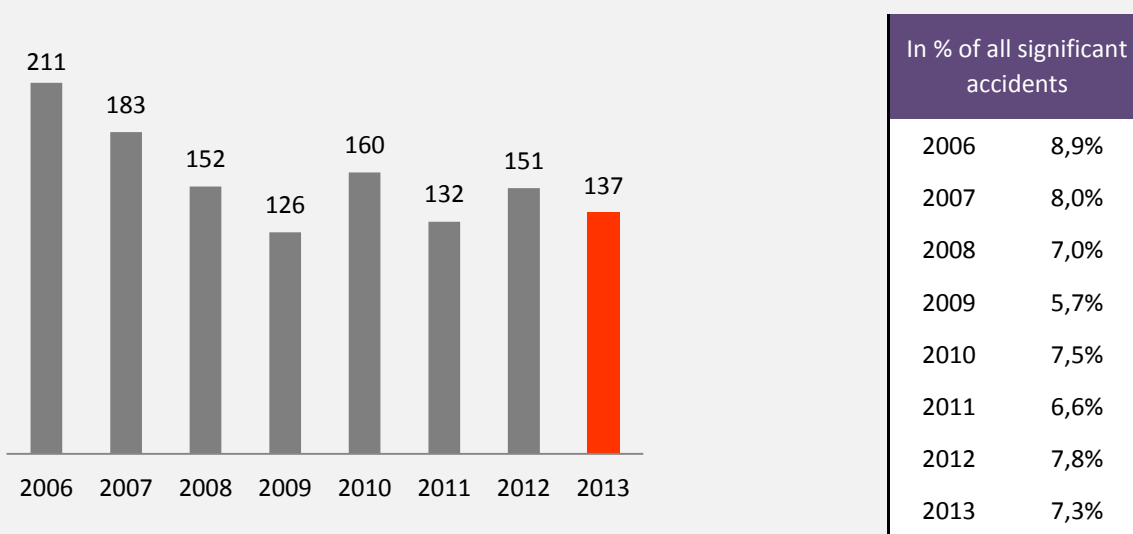
"Accidents related to Human Factors"

is intended as:

"Accidents caused or partly caused by Human Factors (railway staff and subcontractors)"



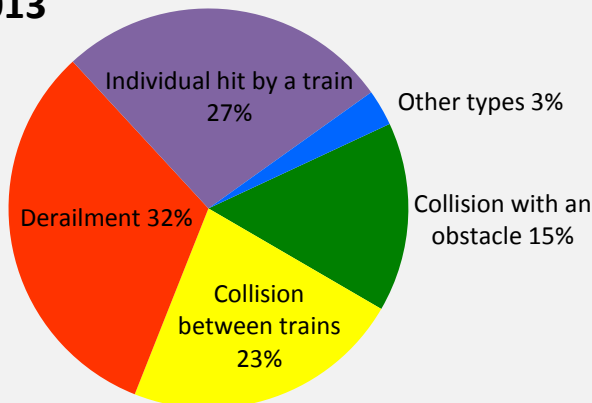
2.1 Accidents related to Human Factors since 2006



- Events related to Human Factors represented 7,3% of all declared significant accidents for the year 2013
- There is a general down trend with some variations

2.2 Accidents related to Human Factors by type of accident

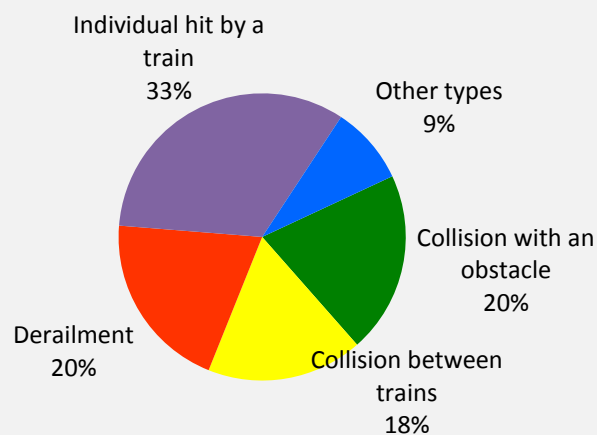
2013



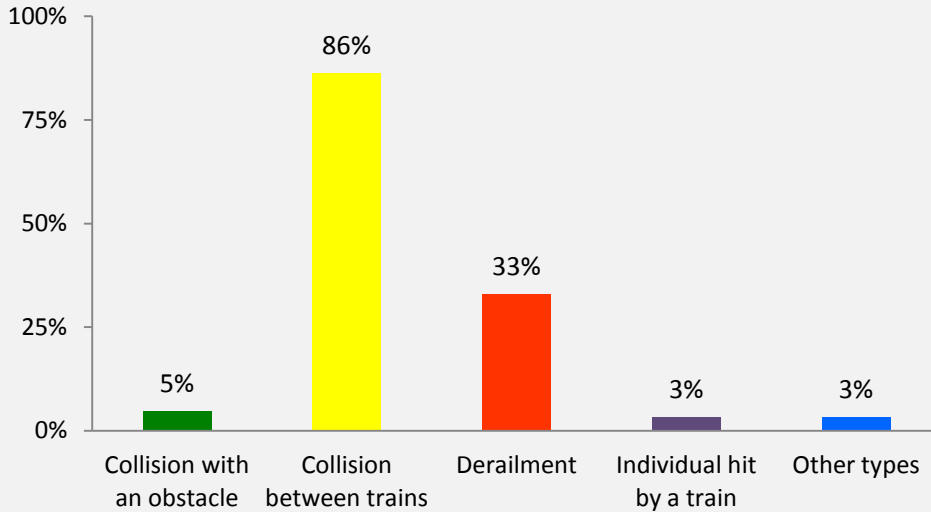
Derailments accounted for almost one third of events related to Human Factors during the year 2013, but only for one fifth on the period 2006-2012.

Individual hit by a train (mostly infrastructure staff) appears as the most common accident related to Human Factors from 2006 to 2012.

2006 - 2012

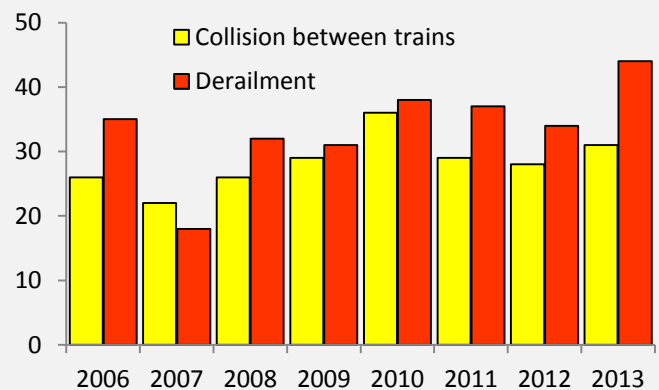
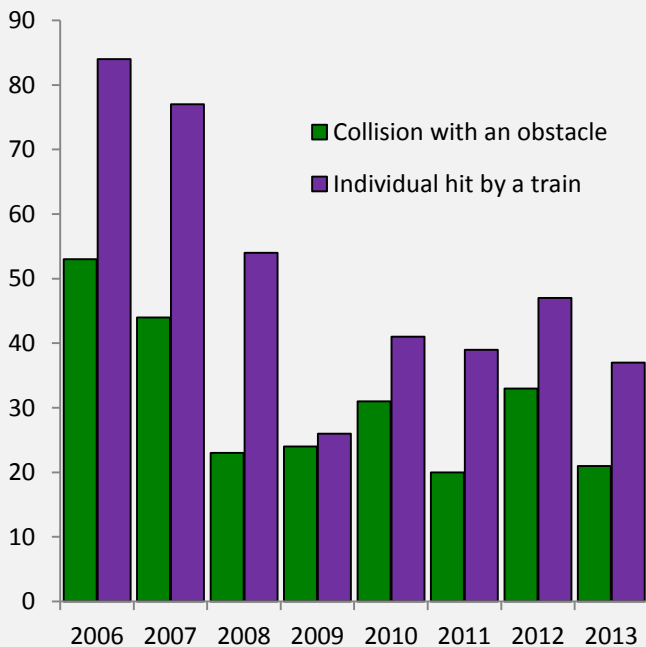


2.3 Proportion of events related to Human Factors by type of accident



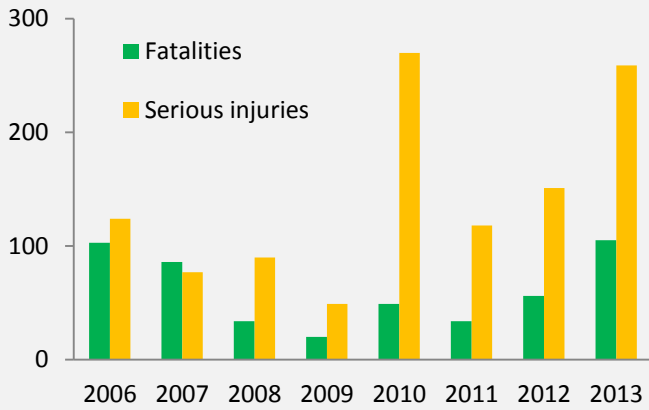
86% of train collisions and 33% of derailments are related to Human Factors. Although individual hits represent the second most common type of event related to Human Factors, 97% of these events have other causes.

2.4 Trends of events related to Human Factors by type of accident since 2006



Trends between 2006 and 2013 show a general decrease in collisions with an obstacle and individuals hit by a train related to Human Factors. However, collisions between trains and derailments related to Human Factors show an increasing trend.

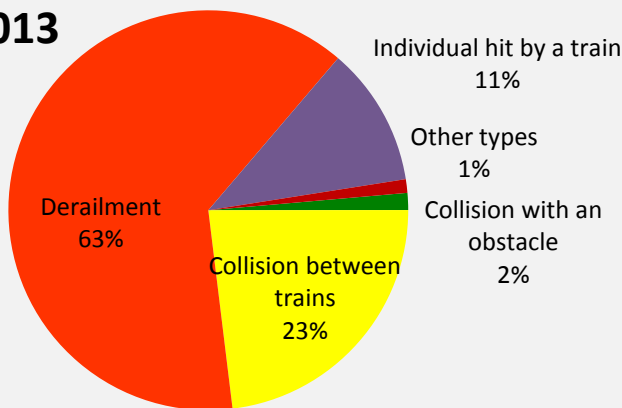
2.5 Victims of accidents related to Human Factors by type of victim since 2006



In terms of victims of accidents related to Human Factors, 2013 appears as the worst year since 2006. One accident is responsible for 75% of all fatalities and 58% of all serious injuries that occurred in accidents related to human factors.

2.6 Victims of accidents related to Human Factors by type of accident

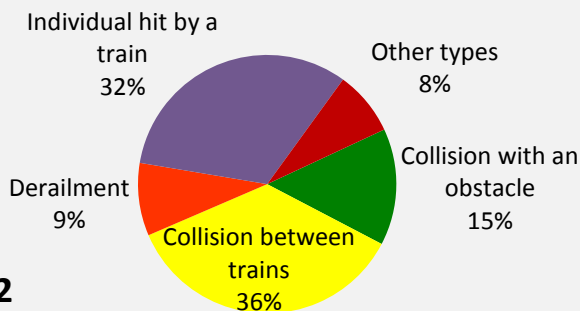
2013



	Severity rate * 2013
Collision with an obstacle	0,24
Collision between trains	2,71
Derailment	5,23
Individual hit by a train	1,11
Other types	1,00

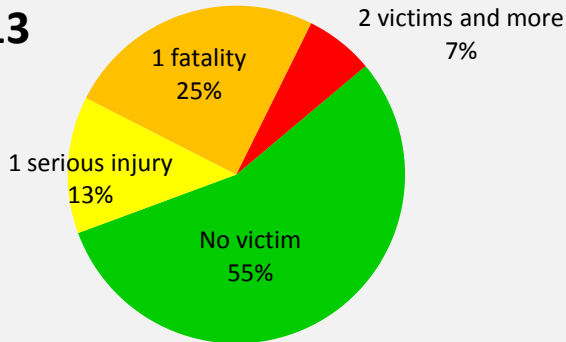
* average victims per event
ratio victims / events

2006-2012



2.7 Accidents related to Human Factors by number of victims

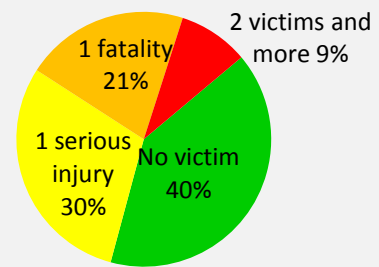
2013



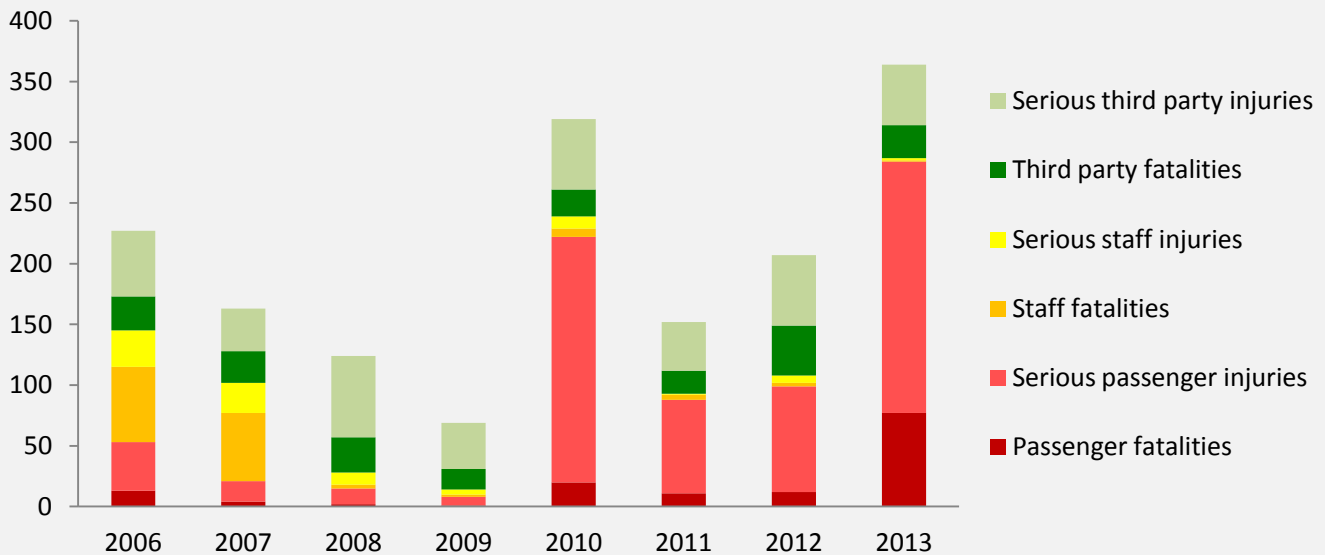
9 accidents (representing 7% of total events related to Human Factors) resulted in two or more victims:

- 1 derailment with passenger and staff victims
- 5 train collisions with passenger and staff victims
- 3 "individual hit by a train" events with staff victims

2006-2012

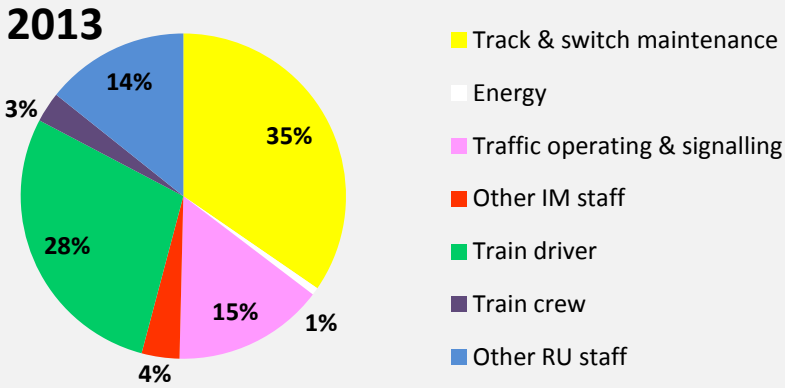


2.8 Victims by category on the period 2006-2013

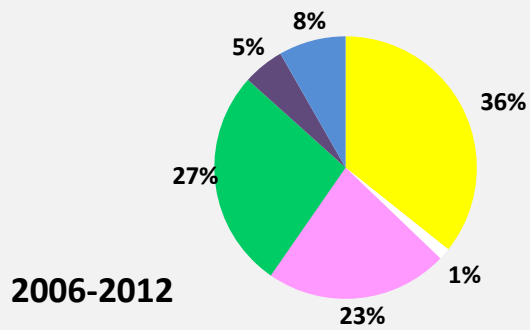


There were no identified trends on the 8-year period. The specific high numbers observed in 2010 and 2013 are due to a small number of very severe accidents related to Human factors.

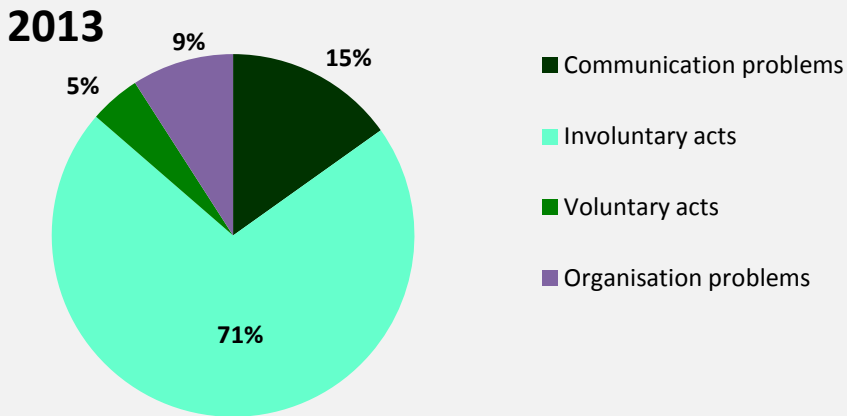
2.9 Causes at second level



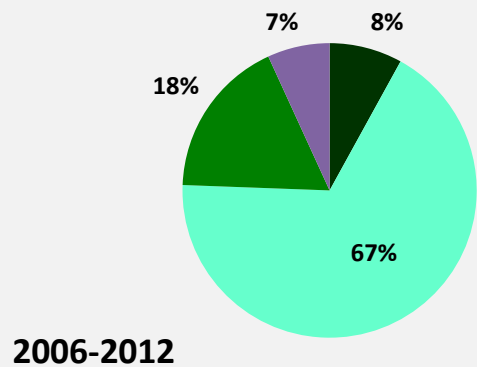
* Second level undefined is excluded



2.10 Causes at third level

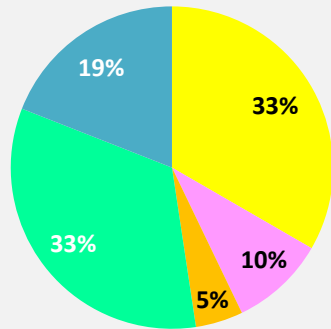


* Third level undefined is excluded



2.11 Causes at second level by type of accident 2013

Collision with an obstacle

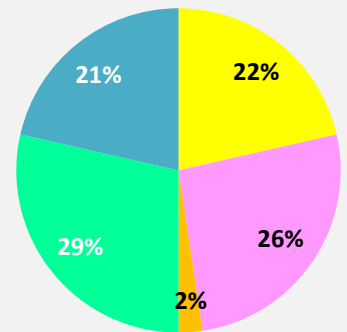


- Track & switch maintenance
- Traffic operating & signalling
- Other staff at IM
- Train driver
- Other staff at RU

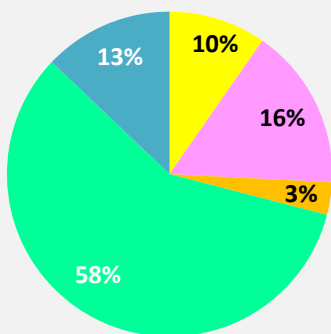
Collisions with an obstacle, as well as derailments, related to Human Factors are shared almost equally between staff of the Infrastructure Manager (IM, in yellow, pink and orange colours) and staff of railway undertakings (RU, in green and blue colours)..

The proportions are 52%-48% for collisions with an obstacle and 50%-50% for derailments.

Derailment



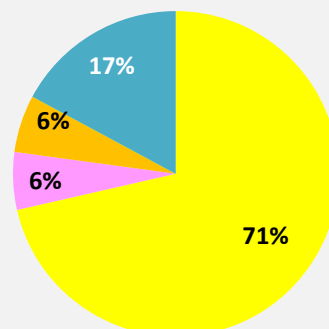
Collision between trains



Collisions between trains related to Human Factors are mostly linked to the staff of railway undertakings (71% of all cases).

Individuals hit by a train related to Human Factors are mostly due to the staff of the Infrastructure Manager (83% of all cases).

Individual hit by a train



Useful links

UIC Studies

- The analysis of the Human, Organizational and Social Dimensions of an incident (2012)
- Organisational and human aspects of safety at border crossings (2012)
- Guidance on the safe use of mobile phones and other portable electronic communication devices by railway workers (2012)

RSSB studies

- Human factors library
- Human factors case studies
- Human factors tools and resources
- SPARK database on rail research (registration needed)

Human Factors at offices of rail regulation

- Office of Rail Regulation (UK)
- Office of the National Rail Safety Regulator (Australia)
- Federal Railroad Administration (USA)

European Railway Agency

- ERA Human factors network
- Support Study for Human Factors Integration – Human Functions in European Railways

Definitions from the Commission Directive 2009/149/EC ("Safety Directive"), app. 1

"**Significant accident**" means any accident involving at least one rail vehicle in motion, resulting in at least one killed or seriously injured person, or in significant damage to stock, track, other installations or environment, or extensive disruptions to traffic. Accidents in workshops, warehouses and depots are excluded.

"**Significant damage to stock, track, other installations or environment**" means damage that is equivalent to EUR 150 000 or more.

"**Extensive disruptions to traffic**" means that train services on a main railway line are suspended for six hours or more.

Safety Database European Members

Company	Country	Code
ADIF	Spain	ES
CFL	Luxembourg	LU
CFR SA	Romania	RO
DB AG	Germany	DE
Eurotunnel	France - UK	-
HZ	Croatia	HR
Infrabel	Belgium	BE
JBV	Norway	NO
MÁV	Hungary	HU
Network Rail	United Kingdom	GB
ÖBB	Austria	AT
PKP	Poland	PL
PRORAIL	Netherlands	NL
REFER	Portugal	PT
RFF / SNCF	France	FR
RFI	Italy	IT
SBB CFF FFS	Switzerland	CH
SŽ	Slovenia	SI
SŽDC	Czech Rep.	CZ
Trafikverket	Sweden	SE
ŽSR	Slovak Rep.	SK

UIC Safety Database

Report 2014

Significant Accidents 2013

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<http://safetydb.uic.org>



UIC Safety Database - Report 2014

Significant Accidents 2013

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