



# Provably Safe Maneuvers of Automated Vehicles

Matthias Althoff

Technische Universität München

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# EU Project UnCoVerCPS: Partners



Unifying Control and Verification of Cyber-Physical  
Systems  
(UnCoVerCPS)

Funding: 4.9 mio Euro

<b>Participant organisation name</b>	<b>Country</b>
Technische Universität München (TUM)	Germany
Université Joseph Fourier Grenoble 1 (UJF)	France
Universität Kassel (UKS)	Germany
Politecnico di Milano (PoliMi)	Italy
GE Global Research Europe (GE)	Germany
Robert Bosch GmbH (Bosch)	Germany
Esterel Technologies (ET)	France
Deutsches Zentrum für Luft- und Raumfahrt (DLR)	Germany
Tecnalia (Tec)	Spain
R.U.Robots Limited (RUR)	United Kingdom

# EU Project UnCoVerCPS: Main objectives

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- A unique tool chain that makes it possible to integrate modeling, control design, formal verification, and automatic code generation.

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- A new development process that reduces development time and costs for critical cyber-physical systems.



# Focus Of This Talk: Online Verification of Automated Cars

## Thought experiment

How many possible situations is an automated car facing?



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- Infinitely many!

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## Thought experiment

How many possible situations is an automated car facing?

- Infinitely many!
- O.k., let's discretize (see details below): At least  $10^{81}$   
(outnumbers the estimated amount of atoms in the universe)

**Problem:** How should this be verified upfront?

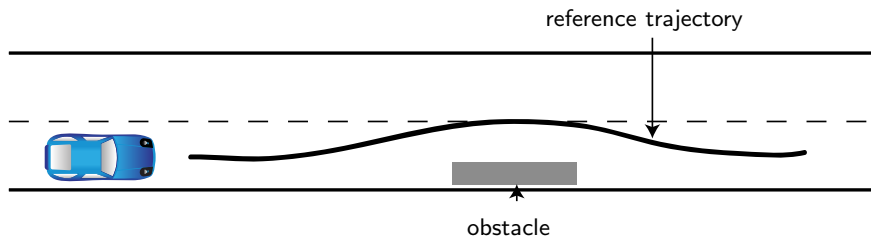
**Solution:** We have to verify the vehicle while it is in operation

→ online verification.

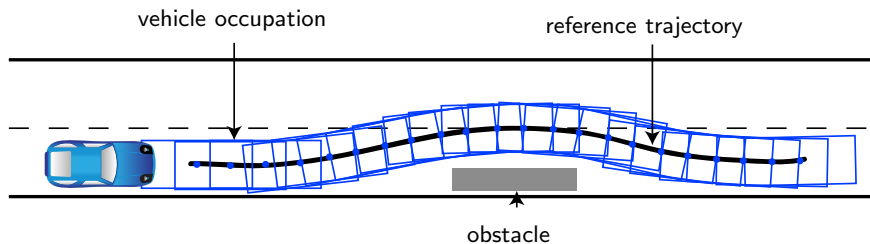
Discretization of the problem:

- Each surrounding vehicle: position (x- and y-coordinate), velocity and orientation (4 variables).
- Each lane: width, curvature, and change of curvature (3 variables).
- Own vehicle: x- and y-coordinate, velocity, orientation, yaw rate, steering angle (6 state variables) and tire-road friction, current loading (2 variables).
- Bounds on numbers of variable values and objects: 20 values per variable, maximum 10 surrounding vehicles, 5 lanes.
- Result:  $(20^4)^{10} \cdot (20^3)^5 \cdot 20^6 \cdot 20^2 \approx 10^{81}$ .

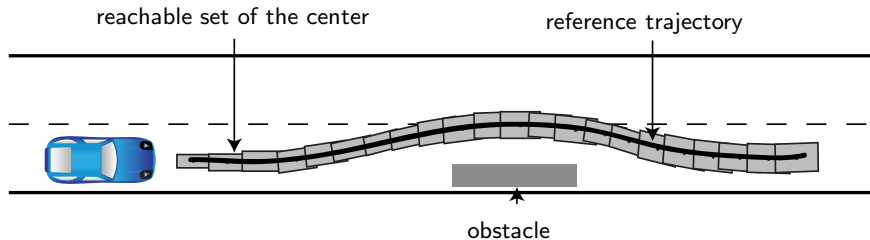
# Trajectory Verification: Situation



# Trajectory Verification: Standard Approach



# Trajectory Verification: Considering Uncertainties



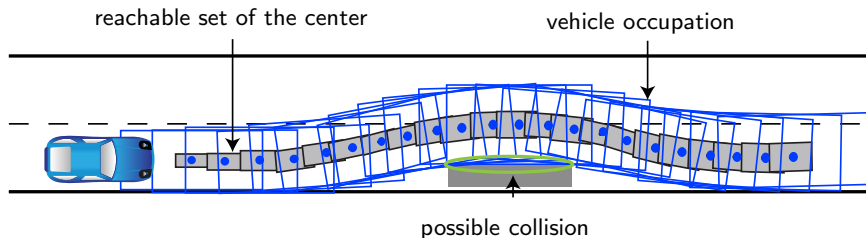
## Robust Safety Problem

Is the planned maneuver of the autonomous vehicle still safe under

- uncertain initial states,
- uncertain measurements,
- and disturbances?

**Objective:** Guarantee safety when bounds on uncertainties are known.

# Trajectory Verification: Formal Verification Reveals Problems



## Robust Safety Problem

Is the planned maneuver of the autonomous vehicle still safe under

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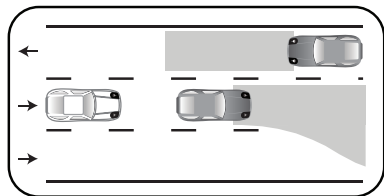
**Objective:** Guarantee safety when bounds on uncertainties are known.

# Outline

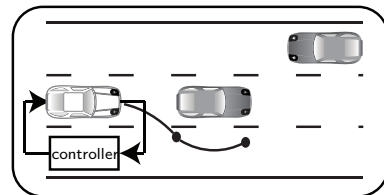
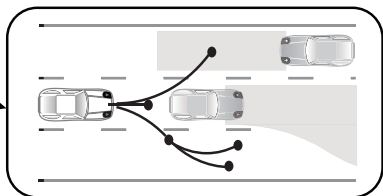
- 1 Overview of the approach
- 2 Models of the ego vehicle and other traffic participants
- 3 Verification procedure
- 4 Test results
- 5 Verification of high-fidelity vehicle models
- 6 Pre-computation using motion primitives
- 7 Adaptation for automated driving

# Overview of the Approach

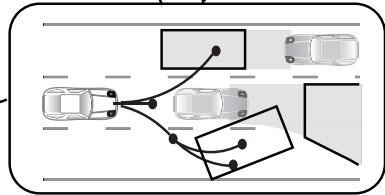
## ① occupancy prediction



## ② trajectory planning



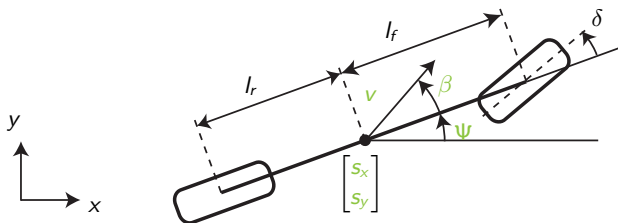
## ④ trajectory tracking



## ③ collision checking



## Model of the Uncontrolled Ego Vehicle



$$\dot{\beta} = \left( \frac{C_r l_r - C_f l_f}{m v^2} - 1 \right) \dot{\psi} + \frac{1}{m v} (C_f \delta - (C_f + C_r) \beta)$$

$$\dot{\psi} = \dot{\psi}$$

$$\ddot{\psi} = \frac{1}{I_z} \left( (l_r C_r - l_f C_f) \beta - (l_f^2 C_f + l_r^2 C_r) \frac{\dot{\psi}}{v} + l_f C_f \delta \right)$$

$$\dot{v} = a_x$$

$$\dot{s}_x = v \cos(\beta + \psi)$$

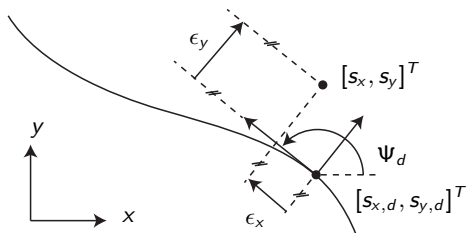
$$\dot{s}_y = v \sin(\beta + \psi)$$

yaw dynamics

longitudinal dynamics

position of  
the vehicle

# Tracking Controller



$$\delta = \begin{cases} k_1(\epsilon_y + u_{\epsilon_y}) + k_2(\Psi_d - \Psi - u_\Psi) + \\ k_3(\dot{\Psi}_d - \dot{\Psi} - u_{\dot{\Psi}}) \end{cases} \quad \left| \begin{array}{l} \text{steering control} \end{array} \right.$$

$$a_x = \begin{cases} k_4(\epsilon_x + u_{\epsilon_x}) + k_5(v_d - v - u_v) \end{cases} \quad \left| \begin{array}{l} \text{longitudinal control} \end{array} \right.$$

- Reference values:  $\Psi_d$ ,  $\dot{\Psi}_d$ ,  $v_d$ .
- Sensor noises:  $u_{\epsilon_x}$ ,  $u_{\epsilon_y}$ ,  $u_\Psi$ ,  $u_{\dot{\Psi}}$ ,  $u_v$ .
- Combining the vehicle model and the control laws yields the final model.

# Constraints for Traffic Participants

Initially the following constraints are considered:

**C1:** positive longitudinal acceleration is stopped when a parameterized speed  $v_{\max}$  is reached.

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- C5:** actions that cause leaving the road/lane boundary are forbidden.

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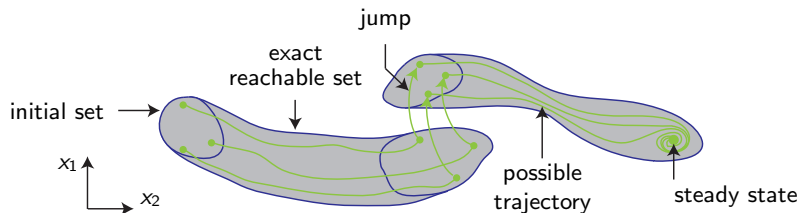
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When a violation of a constraint of a traffic participant is sensed, it is no longer considered in future predictions for that particular traffic participant.



# Reachability Analysis

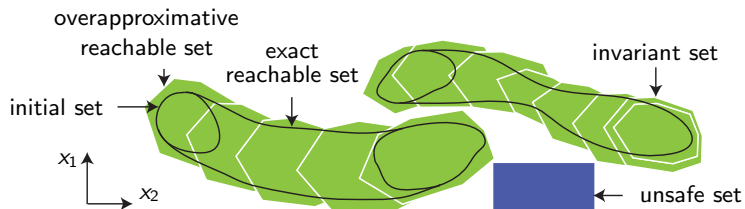


## Informal Definition

A reachable set is the set of states that can be reached by a dynamical system in finite or infinite time for a

- set of initial states,
- uncertain inputs,
- and uncertain parameters.

# Overapproximative Reachable Sets

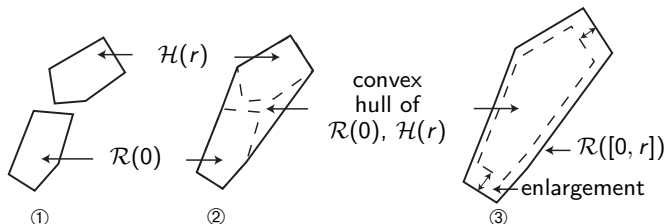


- Exact reachable set only for special classes computable  
→ overapproximation computed for consecutive time intervals.
- Overapproximation might lead to spurious counterexamples.
- Simulation cannot prove correctness.

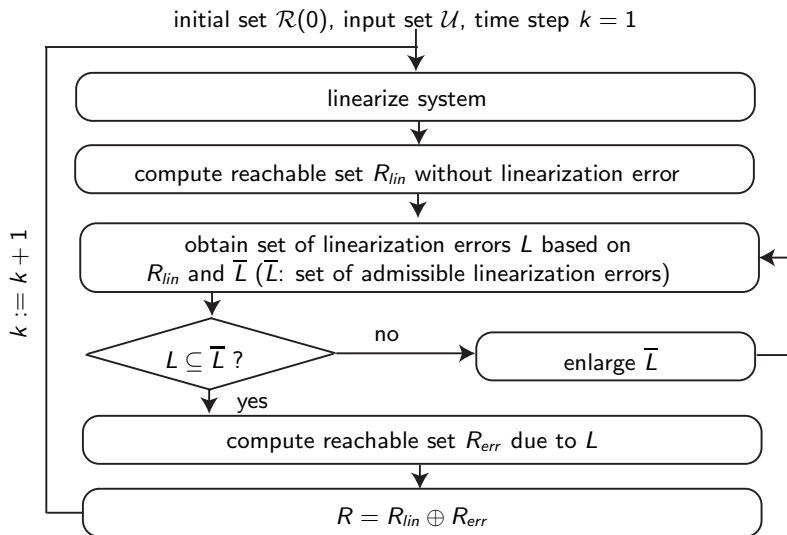
# Linear Systems: Overview of Reachable Set Computation

$$\dot{x}(t) = Ax(t) + u(t), \quad A \in \mathbb{R}^{n \times n}, \quad x(t) \in \mathbb{R}^n, \quad x(0) \in \mathcal{R}(0), \quad u(t) \in u_c \oplus \mathcal{U}$$

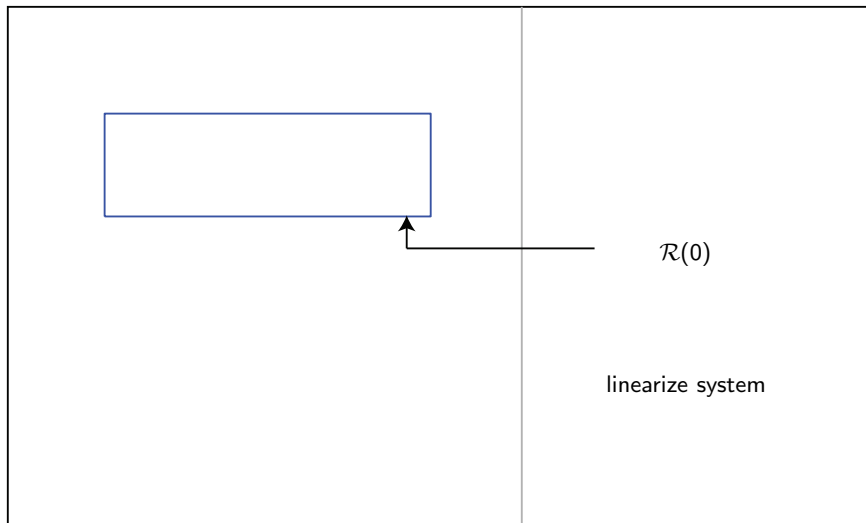
- 1 Compute reachable set  $\mathcal{H}(r) = e^{Ar}\mathcal{R}(0) \oplus \int_{t=0}^r e^{A(r-t)}dt u_c$  at time  $r$  neglecting the uncertain input ( $\mathcal{C} \oplus \mathcal{D} := \{c + d | c \in \mathcal{C}, d \in \mathcal{D}\}$ ).
- 2 Obtain convex hull of initial set  $\mathcal{R}(0)$  and  $\mathcal{H}(r)$ .
- 3 Enlarge reachable set to account for (1) uncertain inputs, (2) curvature of trajectories.
- 4 Continue with further time intervals  $[kr, (k+1)r]$ ,  $k \in \mathbb{N}$ .



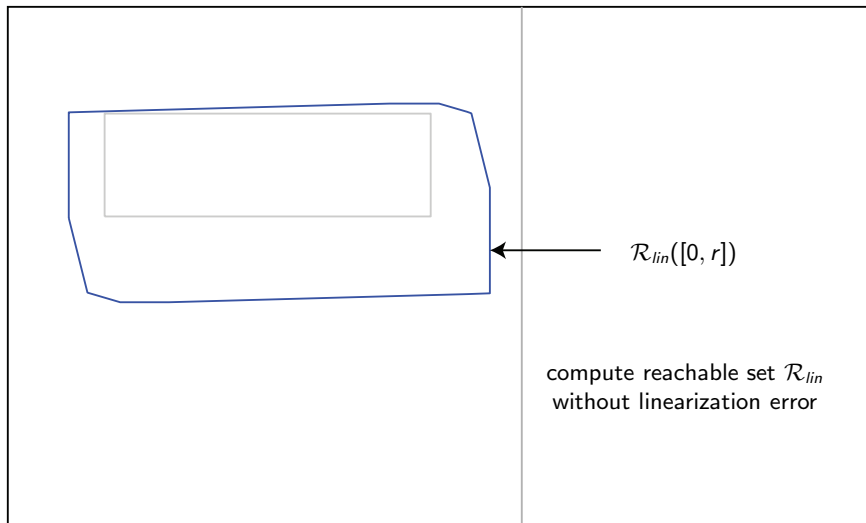
# Nonlinear Reachability Analysis: Overall Algorithm



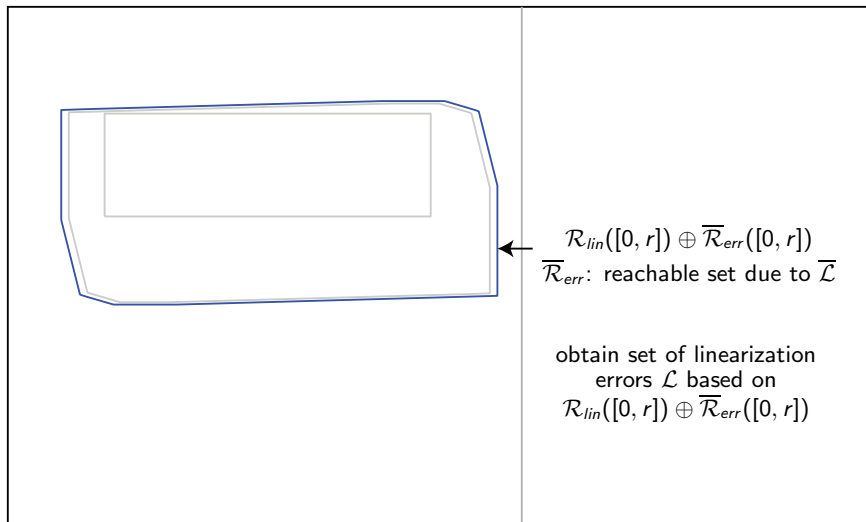
# Overall Algorithm: Animation (I)



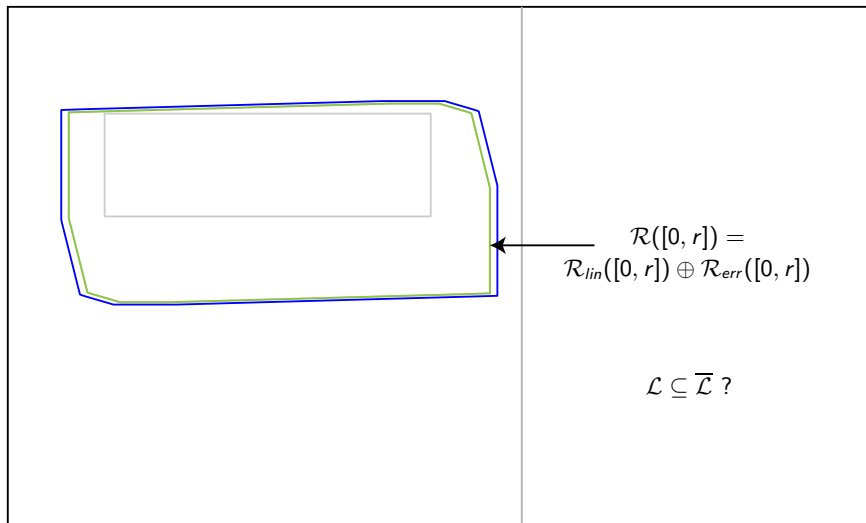
# Overall Algorithm: Animation (II)



## Overall Algorithm: Animation (III)

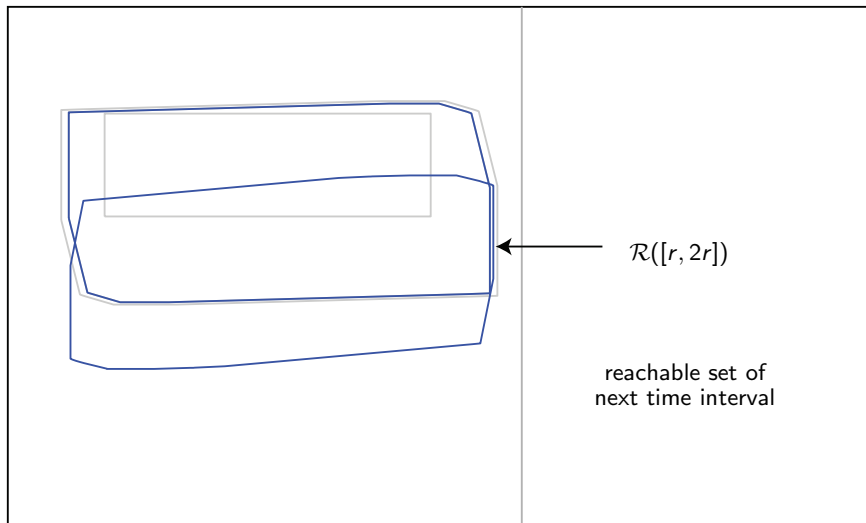


## Overall Algorithm: Animation (IV)

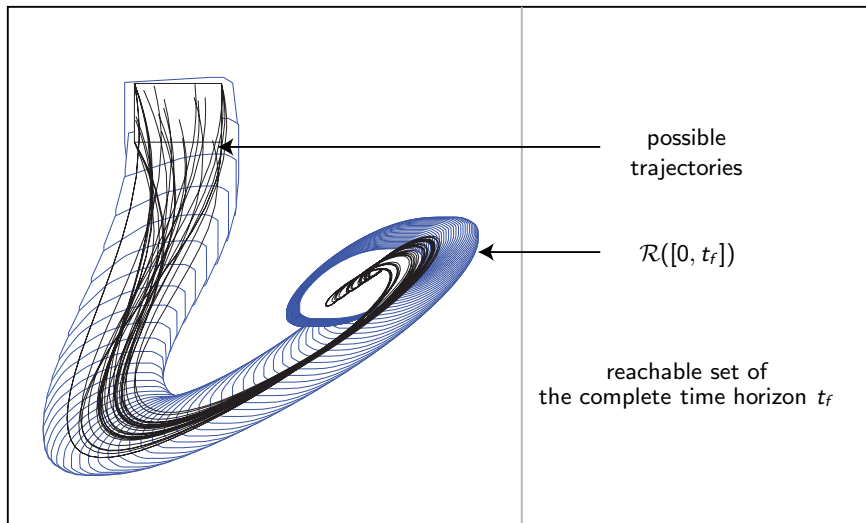




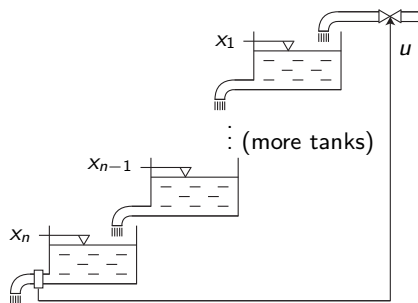
# Overall Algorithm: Animation (V)



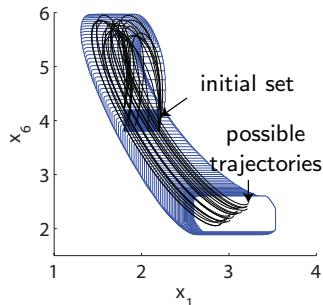
# Overall Algorithm: Animation (VI)



# Scalability of the Linearization Approach



Water tank system.



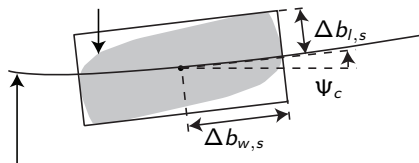
Projected reachable set  
( $n = 6$ ).

Complexity with respect to the number of continuous state variables  $n$ :  $\mathcal{O}(n^3)$ .

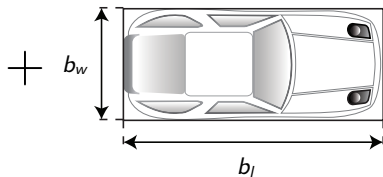
Dimension $n$	5	10	20	50	100
CPU-time [sec]	1.19	1.73	3.11	11.59	35.78

# Occupied Positions: Step 1

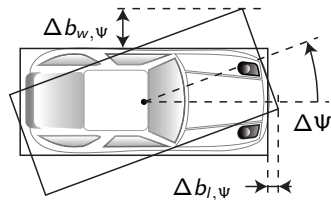
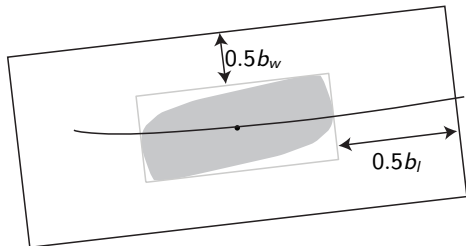
reachable position of  
vehicle center of mass



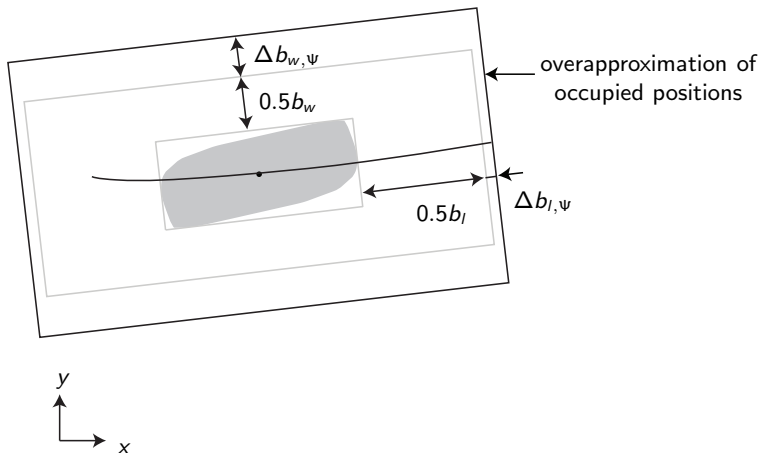
reference trajectory



# Occupied Positions: Step 2



# Occupied Positions: Step 3



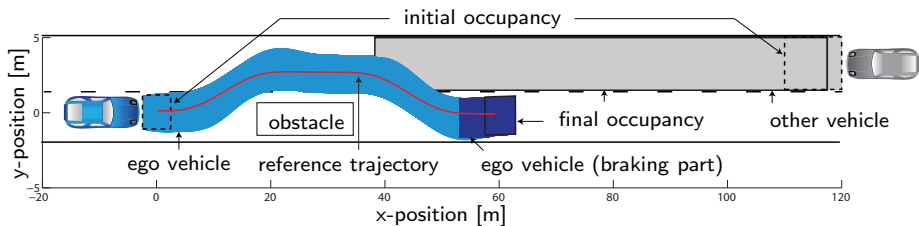
# Online Verification Of Automated Driving



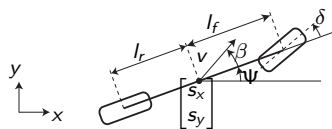
Test site



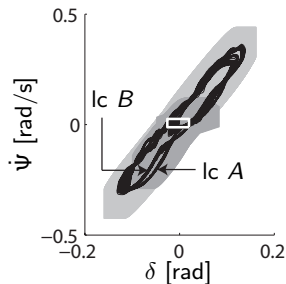
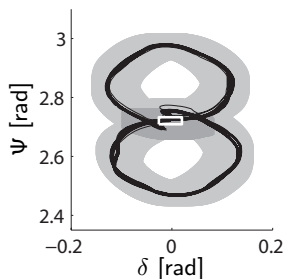
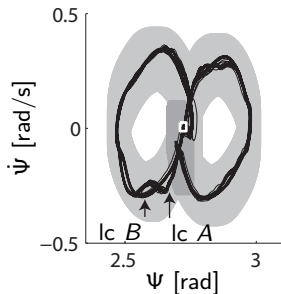
Test vehicle



# Test Drive Results



$s_x, s_y$  [m]    x- and y-position  
 $\Psi$  [rad]        orientation  
 $\beta$  [rad]        slip angle at center of mass  
 $\delta$  [rad]        front wheel angle  
 $v$  [m/s]        velocity



**computation time:**  $\approx 1.8$  times faster than maneuver time (Intel i7, 1.6GHz)



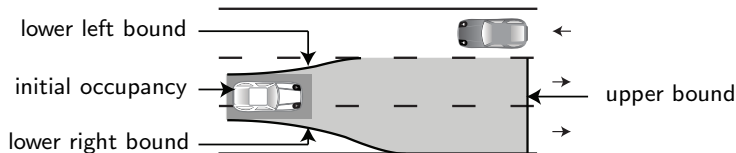
# Abstraction Technique for Other Traffic Participants

## Overapproximative Occupancy

Given are models  $M_i$ ,  $i = 1 \dots m$  which are abstractions of model  $M_0$ , i.e.,  $\text{reach}(M_0) \subseteq \text{reach}(M_i)$ . The occupancy of the model  $M_0$  can be overapproximated by

$$\text{proj}(\text{reach}(M_0)) \subseteq \bigcap_{i=1}^m \text{proj}(\text{reach}(M_i)). \quad \square$$

Two models: Longitudinal dynamics along road boundaries (upper bound), lateral dynamics towards road boundaries (left/right bound).



# Occupancy Along Road Boundaries

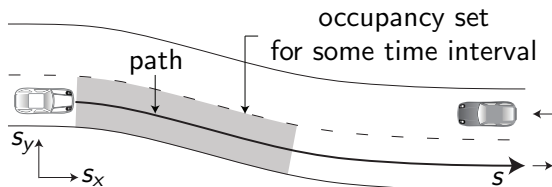
The dynamics becomes monotone when following a lane center.

## Definition (Monotone dynamics)

For the initial state  $x(0) \in \mathcal{R}(0)$  and inputs  $u(t) \in \mathcal{U}$  the dynamics is monotone when the following holds for the solution  $\chi(t, x(0), u(\cdot))$ :

$$\text{if } \forall i, j, t \geq 0 : x_i(0) \leq \bar{x}_i(0), \quad u_j(t) \leq \bar{u}_j(t) \text{ then} \\ \forall i, t \geq 0 : \chi_i(t, x(0), u(\cdot)) \leq \chi_i(t, \bar{x}(0), \bar{u}(\cdot)). \quad \square$$

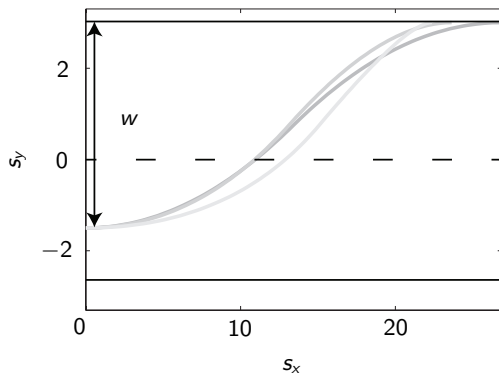
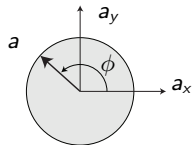
From this follows that e.g. the upper bound is provided by max. position, max. velocity, and max. acceleration:



# Occupancy Towards Road Boundaries

For lateral dynamics there exists no single combination of an initial state and an input trajectory determining the boundary.

Given the vehicle-fixed angle of the acceleration vector  $a$ , possible trajectories are:



- const. acceleration ( $\phi = 90^\circ$ )
- const. acceleration ( $\phi = 110^\circ$ )
- const. acceleration ( $\phi = 130^\circ$ )

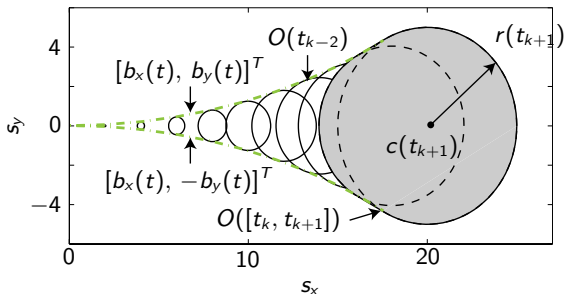
# Occupancy Towards Road Boundaries: Method A

Using limit of absolute acceleration (constraint C4): Occupancies are circles with center  $c(t)$  and radius  $r(t)$ :

$$c(t) = \begin{bmatrix} s_x(0) \\ s_y(0) \end{bmatrix} + \begin{bmatrix} v_x(0) \\ v_y(0) \end{bmatrix} t, \quad r(t) = \frac{1}{2} a_{\max} t^2.$$

From this follows the boundary of occupation:

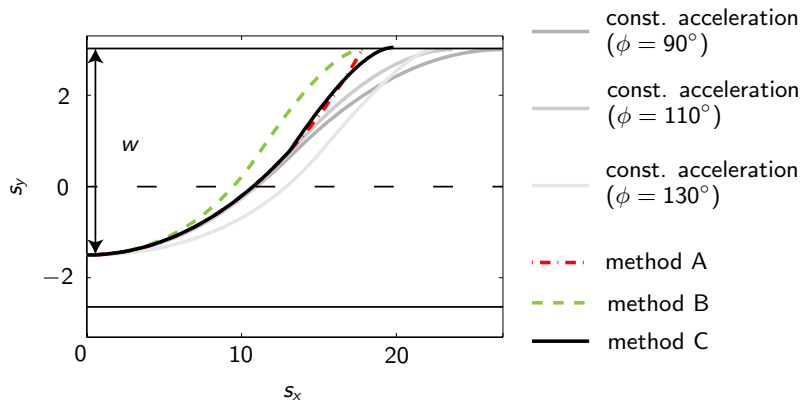
$$b_x(t) = v_0 t - \frac{a_{\max}^2 t^3}{2v_0}, \quad b_y(t) = \sqrt{\frac{1}{4} a_{\max}^2 t^4 - \left(\frac{a_{\max}^2 t^3}{2v_0}\right)^2}.$$



# Occupancy Towards Road Boundaries: Method B and C

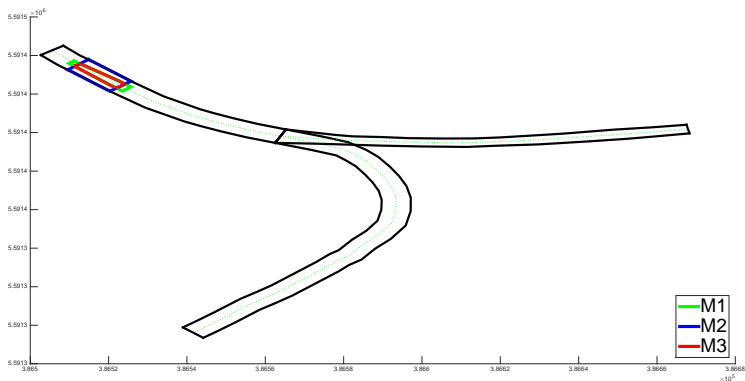
Method B: Assume independence of lateral and longitudinal acceleration  $\rightarrow$  analytical solution.

Method C: Combination of method A and B.



# Examples: Lane 1

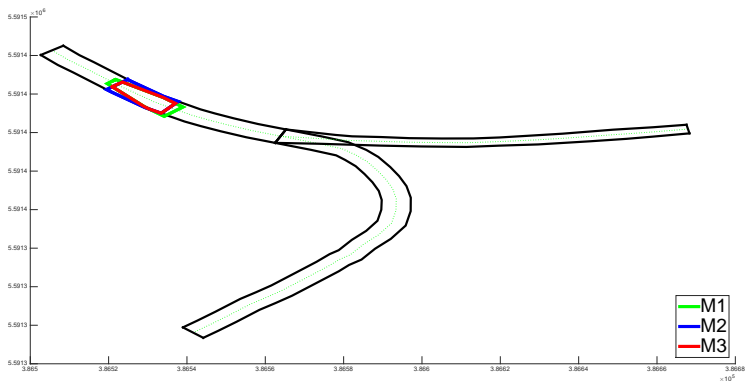
## Step 1:



- M1: restricted absolute acceleration.
- M2: restricted acceleration and velocity in longitudinal direction.
- M3: staying within road boundaries.

# Examples: Lane 1

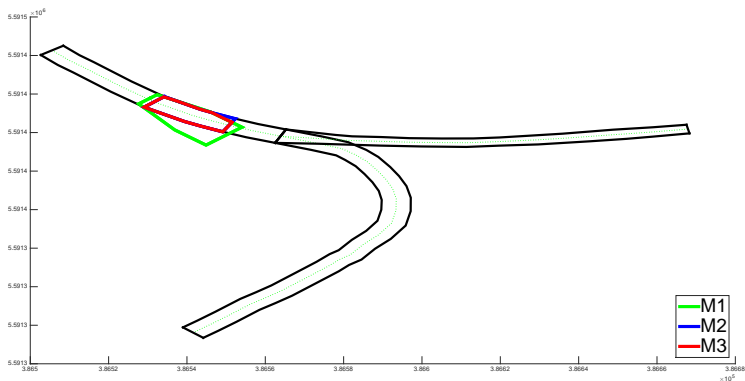
## Step 2:



- M1: restricted absolute acceleration.
- M2: restricted acceleration and velocity in longitudinal direction.
- M3: staying within road boundaries.

# Examples: Lane 1

## Step 3:

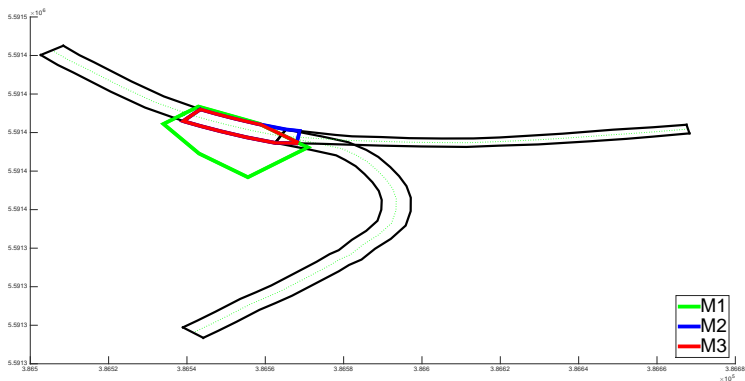


- M1: restricted absolute acceleration.
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# Examples: Lane 1

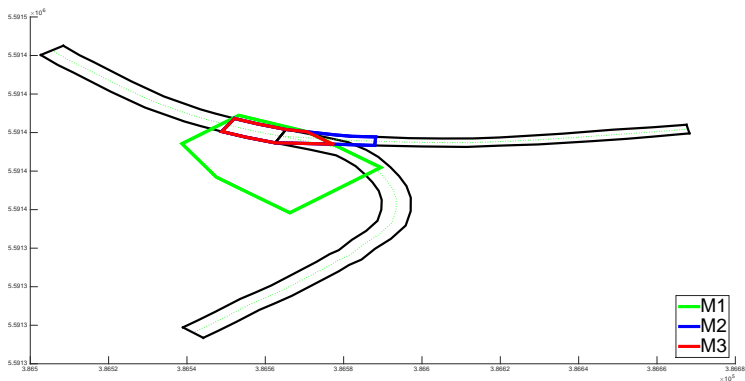
## Step 4:



- M1: restricted absolute acceleration.
- M2: restricted acceleration and velocity in longitudinal direction.
- M3: staying within road boundaries.

# Examples: Lane 1

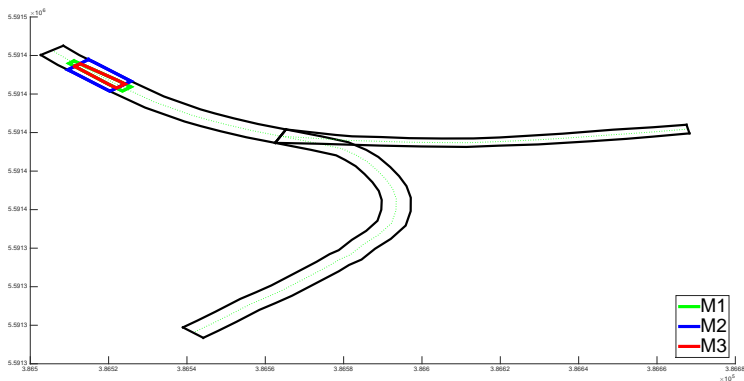
## Step 5:



- M1: restricted absolute acceleration.
- M2: restricted acceleration and velocity in longitudinal direction.
- M3: staying within road boundaries.

# Examples: Lane 2

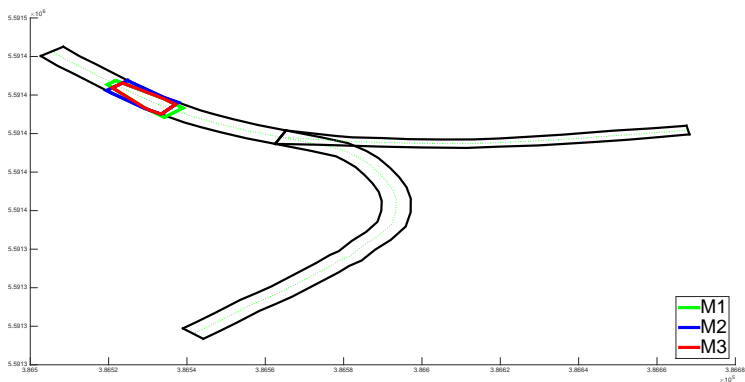
## Step 1:



- M1: restricted absolute acceleration.
- M2: restricted acceleration and velocity in longitudinal direction.
- M3: staying within road boundaries.

# Examples: Lane 2

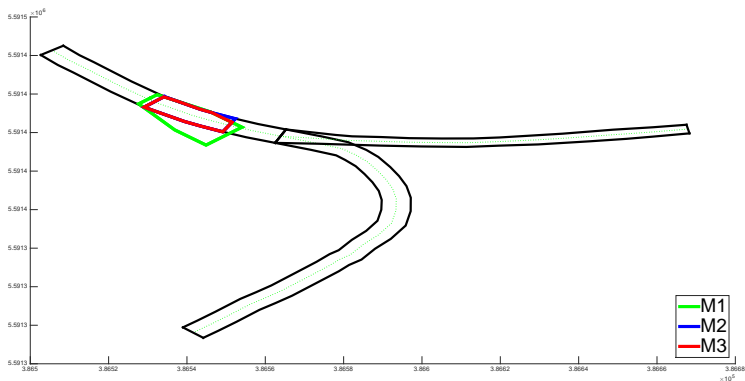
## Step 2:



- M1: restricted absolute acceleration.
- M2: restricted acceleration and velocity in longitudinal direction.
- M3: staying within road boundaries.

# Examples: Lane 2

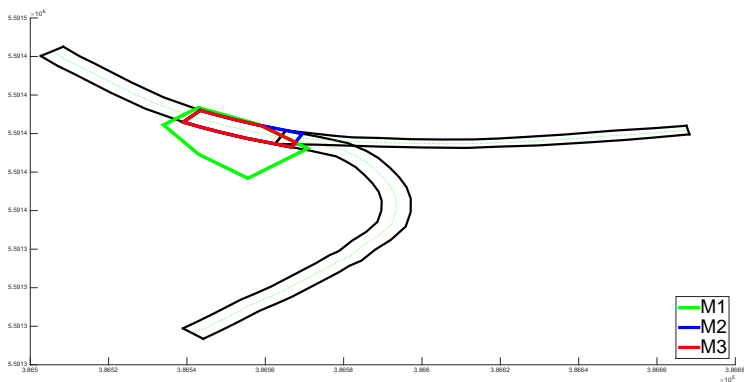
## Step 3:



- M1: restricted absolute acceleration.
- M2: restricted acceleration and velocity in longitudinal direction.
- M3: staying within road boundaries.

# Examples: Lane 2

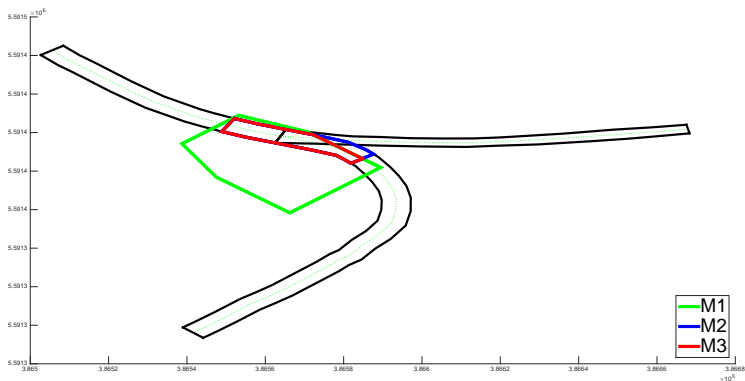
## Step 4:



- M1: restricted absolute acceleration.
- M2: restricted acceleration and velocity in longitudinal direction.
- M3: staying within road boundaries.

# Examples: Lane 2

## Step 5:



- M1: restricted absolute acceleration.
- M2: restricted acceleration and velocity in longitudinal direction.
- M3: staying within road boundaries.

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Assumption of the previous example: Dynamics exactly described by a bicycle model.

## Abstraction Challenges

- How large is the error between the bicycle model and a real vehicle/  
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Formally bounding  $x(t) - z(t)$  ( $x$ : bicycle model,  $z$ : high-order model) is as hard as verifying the high-order model.

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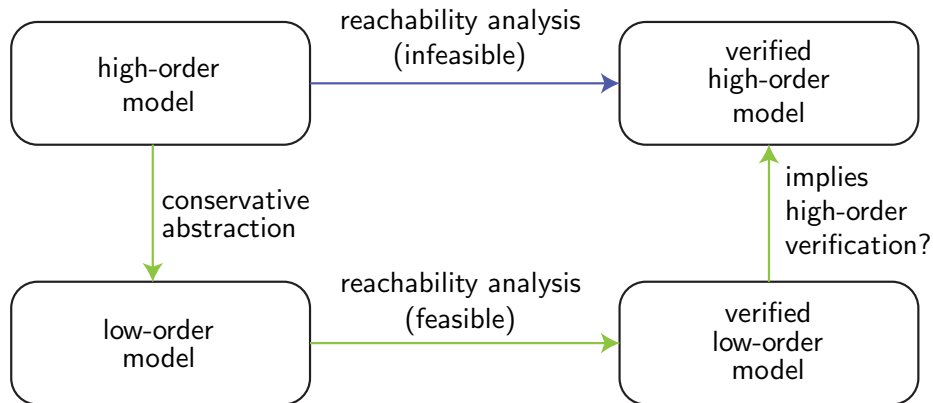
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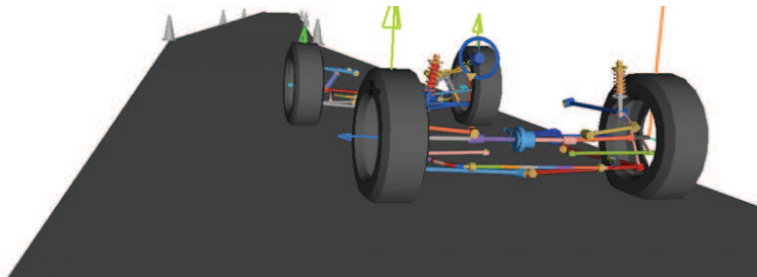
→ Falsification of the low order model.

# High-Order Model Verification Using Low-Order Models

Conservative abstraction (include uncertainties):



# High-Order Vehicle Model



Source: [www.bremarauto.com](http://www.bremarauto.com)

## Features:

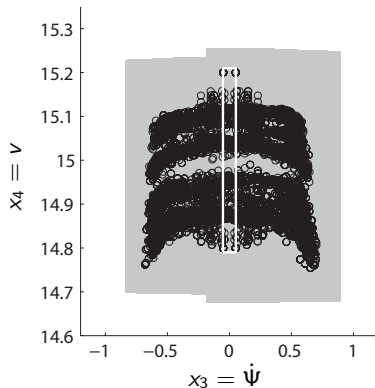
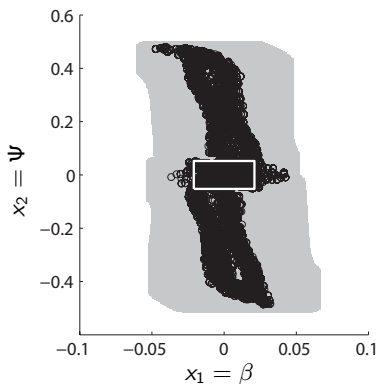
- Multi-body dynamics (28 state variables)
- Individual tire spin, slip, and camber angle.
- Nonlinear tire dynamics according to PAC2002 Magic-Formula.
- Suspension forces from springs, dampers, and anti-roll bars.

# Falsification of Low-Order Models

Choose a finite number of maneuvers. For each maneuver:

- 1 Compute the reachable set of the bicycle model using  $\dot{x} = f(x(t), x_d(t), u(t)) + v(t)$ ,  
( $x$ : state,  $x_d$ : desired state,  $u$ : sensor noise,  $v$ : additional disturbance).
- 2 Use rapidly-exploring random trees (RRTs) to guide the simulation of the high-fidelity model outside the reachable set.
- 3 In case of a violation, increase the uncertain input set  $v(t) \in \mathcal{V}$  and go back to step 1.

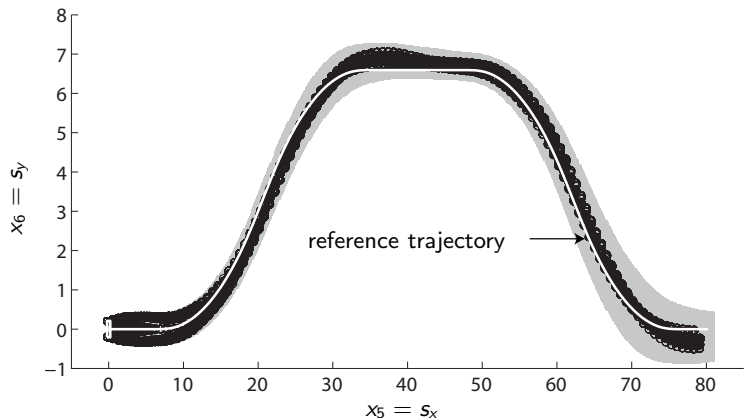
# Double Lane Change Test (1)



- The white set shows the set of initial states.
- Black circles show RRT nodes of the high-dimensional model.
- Gray area shows reachable set of the low-dimensional model.



# Double Lane Change Test (2)



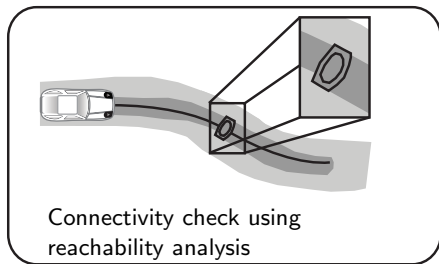
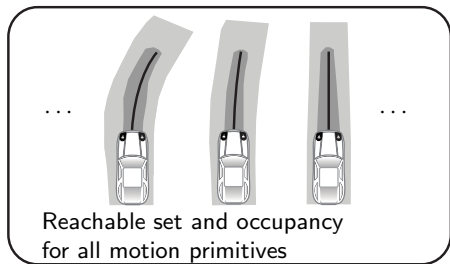
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# Connecting Reachability Results of Motion Primitives

**Basic idea:** Pre-compute reachable sets of motion primitives.

**Connection constraint:** Results can be combined when final set is a subset of the initial set of the next motion primitive.

**Result:** Computational effort is shifted towards offline computation.



# Motion Primitives

We use linear models for velocity  $v$  and curvature  $\kappa$ :

$$v^*(t) = p_1 + p_3 t$$

$$\kappa^*(t) = p_2 + p_4 t.$$

Other states are obtained using a unicycle model:

$$\dot{X}^* = \cos(\theta^*) v^*(t)$$

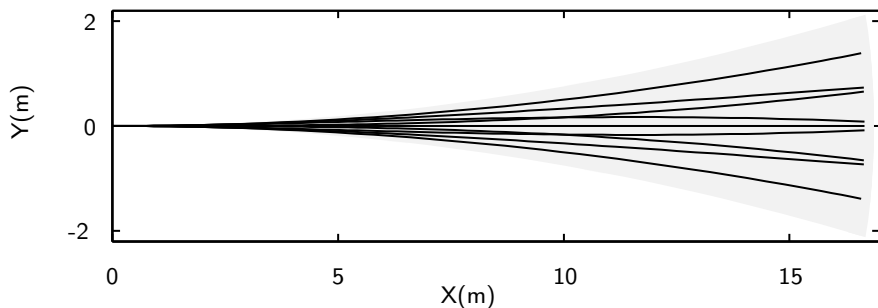
$$\dot{Y}^* = \sin(\theta^*) v^*(t)$$

$$\dot{\theta}^* = v^*(t) \kappa^*(t)$$

This choice results in spiral trajectories, specifically Euler spirals for the case of  $\dot{v}^* = 0$ .

## Uncertain Motion Primitives

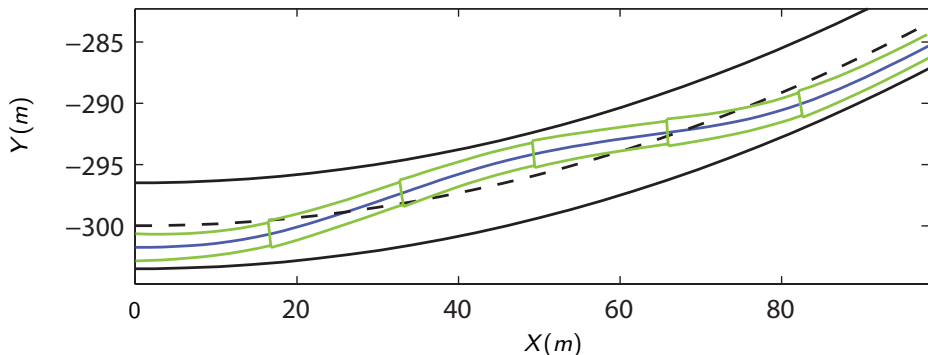
We allow uncertainties for each parameter ( $p_i \in [\underline{p}_i, \bar{p}_i]$ ) so that we can represent infinitely many motion primitives (here: 9 nominal motion primitives):



Results of the pre-computed reachable sets are valid for any motion primitive, where  $\forall i : p_i \in [\underline{p}_i, \bar{p}_i]$

## Example for Connecting Motion Primitives

- Motion primitives are connected according to the connection constraint.
- The reachability analysis is performed in almost no time due to the precomputation.



# Interaction between Planning and Verification

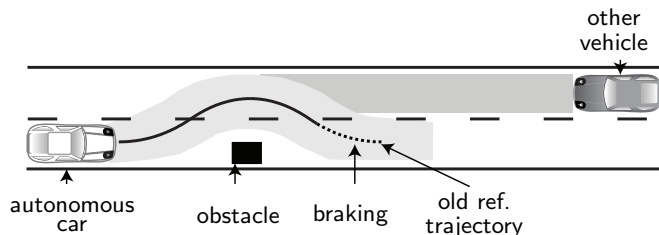
## Planning Challenges

- What if the reference trajectory is unsafe?
- Is there enough time to re-plan and verify a new trajectory?
- What if a software bug or hardware failure occurs?

## Planning Solution

- Plan maneuvers that are safe for all times (details later).
- Only change the previous plan if the new plan has already been verified.

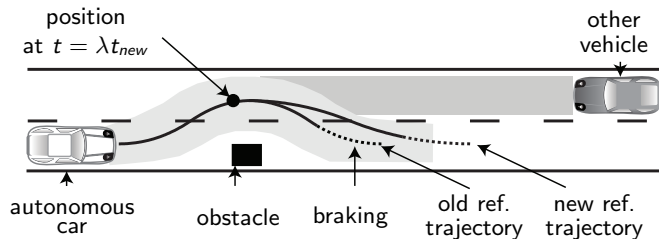
# Maneuvers Verified for all Times



## General Idea

- Add a braking maneuver to the end of the originally intended maneuver.
- The vehicle has to stop in a safe location (e.g. not on a railway crossing).
- The additional braking trajectory is only executed when no new safe plan is ready for execution.

# Deviation from Previous Plan



## General Idea

- Change maneuver only at a point from where the new reference trajectory has been verified.
  - Verification time is linear in the time horizon  $t_f$ :  $t_{ver} = \lambda t_f$ .
- Change previous plan at  $\lambda t_{f,new}$ .



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